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# A Pilot Prospective Study of the Relationship among Cognitive Factors, Shame, and Guilt Proneness on Posttraumatic Stress Disorder Symptoms in Female Victims of Sexual Violence

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This study prospectively examined the relationships among cognitive factors and severity of Posttraumatic stress disorder (PTSD) symptoms in female victims of sexual violence. Thirty-eight victims of sexual violence recruited from Center for Women Victims of Sexual and Domestic Violence at Ajou University Hospital. Cognitive factors and PTSD symptom were assessed within 4 months of sexual violence and 25 victims were followed-up 1 month after initial assessment. Repeated-measured ANOVA revealed that PTSD incidence and severity decreased over the month (F [1, 21] = 6.61). Particularly, avoidant symptoms might decrease earlier than other PTSD symptoms (F [1, 21] = 5.92). This study also showed the significant relationship between early negative trauma-related thoughts and subsequent PTSD severity. Shame and guilt proneness had significant cross-sectional correlations with PTSD severity, but did not show associations when depression severity is controlled. Our results suggest that avoidant symptoms might decrease earlier than other PTSD symptoms during the acute phase and that cognitive appraisals concerning the dangerousness of the world seem to play an important role in the maintenance of PTSD (r = 0.499, P < 0.05).

Keywords: Sex Offenses; Stress Disorders; Post-traumatic; Cognition; Prospective Studies

# **INTRODUCTION**

Similar to other parts of the world, sexual violence is one of the most serious major crimes in Korea. The Korean Legal Research and Training Institute, a governmental institute, reported 11,105 sexual crimes in 2007. Compared to the report of 6,174 sexual crimes in 1997, the rate of sexual crimes has increased by about 80% during this 10-yr period, which indicates the seriousness of sexual violence (1). Given the pervasiveness of sexual violence in our society, it is imperative to investigate the effects of traumatization by sexual violence on victims' psychological and social functioning. Numerous cross-sectional and retrospective studies have examined factors that may mediate or moderate the relationship between sexual abuse and later psychological maladjustment (2-4). However, few studies have examined victimization and the resulting adverse psychological effects longitudinally. Posttraumatic Stress Disorder (PTSD) is a common aftermath of sexual assault. A considerable proportion of victims suffer PTSD symptoms, though a significant number of those presenting PTSD symptoms recover in ensuing months without treatment (5). In a national study in the United States, raped women had a 6.2 times higher rate of lifetime PTSD than those who had never been victims of crime (31% vs 5%), and about one-third of raped women meet the diagnostic criteria

(6). Therefore, knowledge of the factors involved in the maintenance of PTSD and its initial development is needed to provide effective treatment for victims of sexual crimes.

Many trauma theorists have proposed that maladaptive thoughts and beliefs of victims play an important role in the persistence of PTSD. For example, Foa and Riggs (7) and Foa and Rothbaum (8) proposed that negative thoughts about the world and one's incompetence mediate the development of PTSD after sexual assault. Similarly, some researchers have argued that thoughts about one's perceived weakness and the dangerousness of the world play an important role in the development of PTSD (9, 10). Ehlers and Clark (11) also proposed that negative appraisals of a traumatic event increase the sense of threat, which makes PTSD symptoms and anxiety persist. Preliminary studies conducted by Foa's and Ehlers-Clark's groups have provided support for the importance of cognitive variables related to persistent PTSD (5, 7, 8, 11).

Shame and guilt have common core features. First, they are "moral" emotions associated with a negative evaluation because one has failed to meet standards and norms of others or self (12). Second, they are "self-conscious" emotions that require the concept of self and ability to be evaluated objectively (13). However, many researchers have distinguished between shame and guilt. Some researchers argue that shame is experienced when people attribute their transgressions to their global and stable self, whereas guilt is experienced when people attribute their transgressions to transient actions or states (13). Other scholars have distinguished between shame and guilt in other ways. While shame typically involves being negatively evaluated by others, guilt usually involves being negatively evaluated by oneself (14). Higher levels of guilt and shame are associated with more severe PTSD symptoms in survivors of various traumatic events, including domestic violence, child sexual abuse, and adult sexual assault (15). Feiring et al. (16) established a theoretical model in which sexual abuse leads to shame through the mediation of cognitive attributions and shame has a negative effect on adjustment of sexual abuse victims. A Japanese study also showed the explaining power of shame on PTSD symptoms in university women with negative sexual experiences (17). Furthermore, trauma-related guilt was related to increased PTSD symptomatology both directly and indirectly in a study of women experiencing both physical and psychological abuse from their romantic partners (15).

The purpose of this study was to investigate the relationships among cognitive/emotional factors, including trauma-related thoughts, belief, shame, and guilt proneness and severity of PT-SD symptoms.

# **MATERIALS AND METHODS**

#### **Participants**

Forty-one participants who had been sexually assaulted within the previous 4 months were included in this study. They were recruited from the Center for Women Victims of Sexual and Domestic Violence at Ajou University Hospital, which provides victims with help and support at the same site in areas of medical care, social service, and criminal investigative service. Three participants who were mentally retarded or had personality problems were excluded based on a clinical interview and assessment. Twenty-nine (76.3%) participants were assessed during the first month after being assaulted, seven (18.4%) were assessed during the second month, one (2.6%) during the third month, and one (2.6%) during the forth month. Among these participants, 25 (65.8%) participated in the second assessment conducted 1 month after the initial assessment. The retention rate was 65.8%, and the dropout analysis showed no significant differences in age, education, marital status, time since trauma, or type of sexual trauma.

#### The Post-traumatic Cognitions Inventory (PTCI)

The PTCI consists of 36 items and uses a seven-point Likert scale to assess negative trauma-related thoughts and beliefs. The PTCI produces a total score and three subscores based on the means of items for each subscale: Negative Cognitions about Self (21 items), Negative Cognitions about the World (7 items), and Self-Blame (5 items). The PTCI subscales have good testretest reliability (0.75-0.89), good internal consistency (Cronbach's  $\alpha$ , 0.86-0.97), and moderate-to-high correlations with PTSD symptom severity (18). The PTCI items were translated into Korean by the first author, then reviewed by a fluent bilingual psychiatrist. The Cronbach's  $\alpha$  of PTCI in the present study was 0.95.

#### Clinician Administered PTSD Scale (CAPS)

The CAPS is a 30-item semi-structured clinical interview that assesses severity of each of the 17 PTSD symptoms defined by the DSM-IV (19). Clinicians rate both the frequency and intensity of each symptom on a five-point Likert-type scale. The CAPS has well established psychometric properties and is widely regarded as the gold standard measure for assessing PTSD. The Korean version of CAPS has a high internal consistency value of 0.95 (20).

#### PTSD Symptoms Scale: Self-reported Version (PSS-SR)

This 17 item scale corresponds to the PTSD symptoms listed in the DSM-IV. The PSS-SR has been demonstrated to have acceptable to good levels of reliability and validity and provides a measure of symptom severity (21). The PTSD symptoms scale used in this study was composed of items derived from the PTSD diagnostic criteria in the Korean version of the Structured Clinical Interview for the DSM-IV (22).

# Harder's Personal Feelings Questionnaire-2 (PFQ-2)

The PFQ-2 consists of 16 items and use a five-point Likert scale to measure proneness to shame and guilt. Subjects are asked how frequently they experience a feeling, followed by 10 shame-items and six guilt-items. The PFQ-2 has good test-retest reliability of 0.91 (shame-proneness) and 0.85 (guilt-proneness), and satisfactory internal consistency of 0.78 (shame-proneness) and 0.72 (guilt-proneness) (23). The PFQ-2 items were translated into Korean by the first author, then reviewed by a bilingual psychiatrist. The Cronbach's  $\alpha$  of the PFQ-2 in this present study was 0.94.

#### Beck Depression Inventory (BDI)

The BDI is a well-performing, 21-item self-report scale designed to assess and evaluate the severity of depressive symptoms. We administered a Korean version of the BDI, which has demonstrated good psychometric properties. The internal consistency of the BDI is 0.92 (24).

# Mini International Neuropsychiatric Interview-Plus Version 5.0.0 (MINI-Plus 5.0.0)

MINI-Plus is a comprehensive diagnostic semi-structured interview that was developed as a short diagnostic instrument for generating DSM-IV criteria diagnoses. The Korean version of the MINI was validated and demonstrates good psychometric properties. The range of Kappa values is 0.62-0.81 for anxiety disorders and that for major depressive disorder is 0.71 (26).

# Procedure

Participants were initially asked to complete the PTCI, PSS-SR, PFQ-2, and K-BDI, and a study questionnaire including information on demographic data and types of sexual assault experiences. Clinicians examined detailed psychiatric histories and clinical diagnoses by means of the MINI-Plus Korean version 5.0.0. A second assessment was performed 1 month after the initial assessment. PTSD symptom severity was assessed using the CAPS and participants completed the PSS-SR, and K-BDI. Participants were defined as the PTSD group and non-PTSD group according to the diagnosis using the CAPS at the second assessment. All participants had received medications at the time of the initial assessment.

#### Statistical analysis

The 38 participants were assigned to the PTSD and non-PTSD groups according to the CAPS results 1 month after the initial assessment. Categorical variables were compared with the non-parametric Fisher's exact test, and continuous variables were compared with the non-parametric Mann-Whitney *U* analysis. Repeated-measures analyses of variance (ANOVAs) was used to determine changes across time points. Correlations between cognitive factors and PTSD severity at each time point were calculated using Pearson's statistic. Additionally partial correlations adjusted for depression severity were calculated. A *P* < 0.05 was considered significant.

#### **Ethics statement**

The study protocol was approved by the institutional review board of Ajou University Medical Center (IRB No. 10-262). Written informed consent was obtained from all participants.

# RESULTS

The background characteristics of the subjects are shown in Table 1. The mean age of the subjects was  $29.05 \pm 11.35$  yr, and the average educational level was  $13.13 \pm 1.80$  yr. Twenty-six (68.4%) participants experienced rape. Twenty-five of the 38 initial participants were followed up at the second assessment. Of them, 17 (68%) had PTSD and eight (32%) did not. It was revealed that PTCI-negative self (Z = -2.331, P = 0.020), and PTCI-negative world (Z = -2.977, P = 0.003) were significantly different between the PTSD and non-PTSD groups.

The mean PSS-SR and BDI scores at each time point are presented in Table 2. Repeated-measures ANOVAs were conducted with time (initial vs second assessment) as the within-group factor and diagnostic group (PTSD vs non-PTSD) as the betweengroup factor. A main effect for time was observed with the PSS-SR total (F [1, 21] = 6.61, P = 0.018), and avoidance scores (F [1, 21] = 6.92, P = 0.016) declined significantly. A significant main effect was observed for diagnosis with PSS-SR total (F [1, 21] = 16.11, P = 0.001), re-experience (F [1, 21] = 16.51, P = 0.001), avoidance (F [1, 21] = 6.19, P = 0.021), and arousal cores (F [1, 21] = 6.48, P = 0.019), but only avoidance scores had a significant interaction between time and diagnosis (F [1, 21] = 5.92, P = 0.024). A significant main effect was observed for diagnosis

Table 1. Background characteristics of the Post-Traumatic Stress Disorder (PTSD) and non-PTSD groups

Characteristics	All subjects $(n - 38)$	PTSD (n - 17)	Non-PTSD $(n - 8)$	Group comparisons
Gliaracteristics	All Subjects (II = 30)	r 100 (II – 17)	NUII=F 13D (II = 0)	Group comparisons
Mean age (in years) Mean (SD)	29.05 (11.35)	29.24 (11.17)	30.00 (12.00)	Z = -0.146, <i>P</i> = 0.884
Marital status (%) Single Married Divorced	73.7 21.1 5.3	76.5 17.6 5.9	75.0 12.5 12.5	Fisher's Exact Test $P = 1.000$
Education (in years) Mean (SD)	13.13 (1.80)	12.82 (2.01)	14.13 (2.03)	Z = -1.670, <i>P</i> = 0.095
Type of trauma (%) Rape Sexual abuse	68.4 31.6	64.7 35.3	50 50	Fisher's Exact Test $P = 0.667$
Time since trauma (%) Less than 1 month 1-2 months 2-3 months 3-4 months	76.3 18.4 2.6 2.6	88.2 11.8 0 0	62.5 25.0 12.5 0	Fisher's Exact Test P = 0.238
PTCI Negative self Negative world Self-blame		4.50 (1.43) 6.32 (0.54) 3.79 (1.76)	3.18 (0.86) 5.25 (0.96) 3.28 (1.17)	Z = -2.331, P = 0.020 Z = -2.977, P = 0.003 Z = -0.994, P = 0.320
PFQ-2 Shame Guilty		24.41 (12.04) 14.35 (6.94)	18.13 (7.24) 10.88 (3.98)	Z = -1.633, P = 0.102 Z = -1.519, P = 0.129

SD, standard deviation; PTCI, post-traumatic cognitions inventory; PFQ-2, Harder's personal feelings questionnaire-2.

 Table 2. Severity of Post-Traumatic Stress Disorder (PTSD) and depression at each time point

Symptom scales	Total	PTSD	Non-PTSD	Statistic	F
PSS-SR total <sup>‡</sup> Initial assessment	36.83 (7.23) 32 13 (10 30)	38.93 (7.37) 36 73 (7.11)	32.88 (5.30)	Time Diagnosis Time - Diagnosis	6.61* 16.11 <sup>†</sup> 2.54
PSS-SR reexperience <sup>‡</sup> Initial assessment 1 month follow-up	10.26 (3.58) 9.00 (3.53)	11.73 (2.58) 10.47 (2.50)	7.50 (3.70) 6.25 (3.66)	Time Diagnosis Time × Diagnosis	2.61 16.51 <sup>+</sup> 0.00
PSS-SR avoidance <sup>‡</sup> Initial assessment 1 month follow-up	15.39 (3.01) 13.48 (5.11)	15.67 (3.09) 15.47 (3.93)	14.88 (3.00) 9.75 (5.18)	Time Diagnosis Time × Diagnosis	6.92* 6.19* 5.92*
PSS-SR arousal <sup>‡</sup> Initial assessment 1 month follow-up	11.17 (2.92) 9.65 (3.13)	11.53 (3.00) 10.80 (2.70)	10.50 (2.83) 7.50 (2.83)	Time Diagnosis Time × Diagnosis	4.21 6.48* 1.55
BDI <sup>§</sup> Initial assessment 1 month follow-up	29.92 (13.11) 27.71 (11.67)	33.56 (14.33) 32.44 (9.72)	22.63 (5.76) 18.25 (9.56)	Time Diagnosis Time × Diagnosis	0.61 15.10 <sup>†</sup> 0.21

\*P < 0.05; †P < 0.01; †n = 23, PTSD = 15, non-PTSD = 8; \$n = 24, PTSD = 16, non-PTSD = 8. PSS-SR, PTSD symptoms scale: self-reported version; BDI, Beck depression inventory.

Table 3. Correlations between cognitive variables and severity of Post-Traumatic Stress Disorder (PTSD), and partial correlations with depression severity controlled at the initial assessment and at the 1 month follow-up

Timing symptom scales			PTCI			PFQ-2	
			Negative self	Negative world	Self-blame	Shame	Guilty
Initial assessment	PSS-SR total	r	0.534 <sup>+</sup>	0.541 <sup>+</sup>	-0.065	0.394*	0.340*
		rp	0.300	0.416*	-0.275	0.122	0.093
	PSS-SR re-experience	r	0.389*	0.448 <sup>+</sup>	-0.177	0.219	0.128
		rp	0.156	0.331	-0.357	-0.053	-0.125
	PSS-SR avoidance	r	0.541 <sup>+</sup>	0.411*	0.036	0.327	0.333*
		rp	0.408*	0.288	-0.109	0.106	0.149
	PSS-SR arousal	r	0.324	0.449 <sup>†</sup>	-0.023	0.418*	0.364*
		rp	0.074	0.341*	-0.170	0.248	0.200
1 month follow up	PSS-SR total	r	0.273	0.401	0.031	-0.095	-0.002
		rp	-0.028	0.086	-0.354	-0.176	-0.096
	PSS-SR re-experience	r	0.288	0.148	0.054	-0.076	0.014
		rp	0.100	-0.173	-0.144	-0.099	-0.030
	PSS-SR avoidance	r	0.264	0.513*	-0.002	-0.076	-0.039
		rp	-0.002	0.317	-0.331	-0.121	-0.136
	PSS-SR arousal	r	0.141	0.314	0.044	-0.103	0.042
		rp	-0.221	-0.039	-0.266	-0.168	-0.009
	CAPS	r	0.403*	0.621 <sup>+</sup>	0.168	0.003	0.096
		rp	0.255	0.499*	-0.020	0.001	0.078

\**P* < 0.05; <sup>†</sup>*P* < 0.01. *r*, correlation coefficient; *rp*, partial correlation coefficient; PTCl, post-traumatic cognitions inventory; PFQ-2, Harder's personal feelings questionnaire-2; PSS-SR, PTSD symptoms scale: self-reported version; CAPS, clinician administered PTSD scale.

in the BDI, and the PTSD group scored higher than that of the non-PTSD group (F [1, 22] = 15.10, P = 0.001), but no significant main effect was observed for time or the interaction between time and diagnosis.

Correlations between cognitive factors and PTSD and depression severity at each time point are presented in Table 3. At the initial assessment, the majority of cognitive variables were significantly associated with PTSD severity. At the second assessment, the "Negative World" subscale of the PTCI was significantly associated with CAPS (r = 0.621, P < 0.01), and with PSS-SR avoidance scale (r = 0.513, P < 0.05). The "Negative Self" subscale of the PTCI was significantly correlated only with CAPS score (r = 0.403, P < 0.05). Partial correlation coefficients ad-

justed for depression severity are also presented in Table 3. At the initial assessment, "Negative Self" subscale of the PTCI was significantly associated with PSS-SR avoidance scale (r = 0.408, P < 0.05), and "Negative World" was significantly associated with total score (r = 0.416, P < 0.05) and arousal scale of PSS-SR (r = 0.341, P < 0.05). At the second assessment, only "Negative World" subscale of the PTCI was significantly correlated with CAPS score (r = 0.499, P < 0.05).

# DISCUSSION

We prospectively investigated the relationship between posttraumatic cognitive factors and PTSD and depressive symptoms among female victims of sexual violence. At the initial assessment, 30 (78.9%) of the victims met diagnostic criteria for PTSD and Acute Stress Disorder. By the second assessment, the incidence of PTSD dropped to 68%. This was comparable to the results of a prospective study on rape victims reporting that the PTSD incidence rate decreased from 94% to 65% in about 1 month (27). Our study also showed that PTSD incidence and severity decreased over the month. However, further analyses revealed that only avoidance symptoms declined significantly over that month and showed a significant interaction between time and diagnostic group. This result suggested that the non-PTSD group had more prominently recovered avoidance symptoms than those in the PTSD group. This was consistent with a previous study suggesting that avoidance symptoms might be associated with the course of PTSD (28). In contrast, our results suggest that symptoms of re-experience, hyperarousal, and depression did not change significantly after 1 month. In the repeated-measures ANOVA, a significant main effect was observed for diagnosis with all PTSD and depressive symptoms, suggesting that victims of persistent PTSD had a greater severity of overall PTSD and depressive symptoms at the initial assessment than those who recovered. This result agreed with that of a previous study on acute stress reactions or Acute Stress Disorder that emphasized the associations between the immediate response after a traumatic event and the longitudinal course of PTSD (29).

The Self and World subscales of the PTCI showed associations with self-report measures of PTSD and depressive symptoms at the initial assessment but were not correlated with the Blame subscale or PTSD severity. Some studies have noted similar findings about the PTCI Blame subscale (30, 31). Startup et al. (31) suggested that the Blame subscale is indefinite for discriminating character vs behavioral self-blame, because it may hold protective and harmful effects on self. They proposed that the Blame subscale be revised to specifically assess behavioral self-blame.

The Self and World subscales of the PTCI, which were evaluated at the initial assessment, were significantly correlated with PTSD severity measured by the CAPS at the second assessment. Furthermore, the World subscale correlated significantly with CAPS score adjusted for depression severity at the second assessment. This result is consistent with that of previous studies suggesting that dysfunctional cognition regarding a traumatic event seem to strongly affect the maintenance and severity of PTSD symptoms (5, 32). In the present study, cognitive appraisals concerning the dangerousness of the world seemed to play an important role in the maintenance of PTSD symptoms.

Shame and guilt proneness had significant cross-sectional correlations with PTSD severity, but did not show associations when controlled depression severity. Several researchers have cited shame and guilt feelings as the major emotional aspects of negative self-evaluations associated with the most psychopathological conditions. Additionally, shame and guilt proneness have been theorized to play an essential role in symptom formation and the maintenance of psychiatric symptoms, particularly affective disorders (33, 34). In our study, shame and guilt proneness seemed to be related to formation of depression rather than PTSD symptoms.

Our findings should be interpreted with some caveats. First, the current sample is not representative of general rape victims because of the small sample size. It has been well established that only a small proportion of sexual crimes are reported to law-enforcing agencies such as police (35). Moreover, the participants in the present study were victims of sexual violence who sought psychiatric help and evaluation. In reality, a larger proportion of victims do not want psychiatric services. Thus, a selection bias may have been present. However, the present findings are consistent with the results from previous longitudinal studies that examined the impact of cognitive factors on the maintenance of PTSD. Second, it must be noted that this study did not attempt to comprehensively examine the cognitive factors implicated in previous studies including appraisal of reactions during assault and control strategies.

Despite these limitations, strength of this study is that we longitudinally investigated the relationship between PTSD symptoms and trauma-associated cognitive factors in female victims of sexual violence. In conclusion, our results suggest that avoidance symptoms might decrease earlier than other PTSD symptoms during the acute phase and that cognitive appraisals concerning the dangerousness of the world seem to play an important role in the maintenance of PTSD. Further studies with a larger sample size, various trauma-related cognitive factors, and longer follow-up periods are needed.

# DISCOLSURE

The authors have no conflicts of interest to disclose.

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