

# Risk group criteria for tailoring adjuvant treatment in patients with endometrial cancer: a validation study of the Gynecologic Oncology Group criteria

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**Objective:** The purpose of this study is to validate the Gynecologic Oncology Group (GOG) criteria for adjuvant treatment in a different cohort of patients and to evaluate the simplified risk criteria predicting the prognosis and tailoring adjuvant treatment in patients with surgically staged endometrial cancer.

**Methods:** We performed a retrospective analysis of 261 consecutive patients with surgically staged endometrial cancer between January 2000 and February 2013. All patients had complete staging procedures and were surgically staged according to the 2009 International Federation of Gynecology and Obstetrics staging system. Clinical and pathologic data were obtained from medical records. We designed the simplified risk criteria for adjuvant treatment according to the risk factors associated with survival. The patients were divided into low and low-intermediate, high-intermediate, and high-risk groups according to the GOG criteria and simplified criteria and their survivals were compared. Receiver-operating characteristic curve analysis was used to evaluate the prognostic significance of both criteria.

**Results:** Median follow-up time was 48 months (range, 10 to 122 months). According to the GOG criteria, we identified 197 low and low-intermediate risk patients, 20 high-intermediate risk patients, and 44 high-risk patients. There were significant differences in disease-free ( $p < 0.001$ ) and overall survival ( $p < 0.001$ ) among the three groups. Using the simplified risk criteria, we identified 189 low and low-intermediate risk patients, 28 high-intermediate risk patients, and 44 high-risk patients. There were significant differences in disease-free ( $p < 0.001$ ) and overall survival ( $p < 0.001$ ) among the three groups. The performance of the simplified criteria (area under the curve [AUC]=0.829 and 0.916 for disease recurrences and deaths, respectively) was as good as the GOG criteria (AUC=0.836 and 0.921 for disease recurrences and deaths, respectively).

**Conclusion:** The simplified criteria may be easily applicable and offer useful information for planning strategy of adjuvant treatment in patients with surgically staged endometrial cancer as the GOG criteria.

**Keywords:** Chemotherapy, Adjuvant; Disease-free Survival; Endometrial Neoplasms; Radiotherapy, Adjuvant; Risk Factors

## INTRODUCTION

The prognosis for early-stage endometrial cancer is excellent, but some patients within this group will have risk factors

such as age, tumor grade, depth of myometrial invasion, and lymphovascular space invasion (LVSI) that will place the risk of 5-year disease recurrence as high as 20% to 25%. Three significant phase III randomized controlled trials (RCTs) including the Post Operative Radiation Therapy in Endometrial Cancer (PORTEC)-1,2 and Gynecologic Oncology Group (GOG)-99 defined high-intermediate risk (HIR) group of surgically staged endometrial cancer patients and demonstrated decreasing recurrence rates following adjuvant radiotherapy (RT) in these population without altering overall survival (OS) [1-3]. In the

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first PORTEC trial, the risk criteria for locoregional relapse were grade 3, age older than 60 years, and outer 50% myometrial invasion. HIR group in GOG-99 was defined based on the prognostic factors including age, tumor grade, myometrial invasion, and the presence of LVSI (Table 1). Thus, HIR criteria of GOG-99 seem to be so complicated and difficult to employ in practice and PORTEC criteria seem to be incomplete because these lack LVSI and lymph node (LN) status [1,2]. In addition, the International Federation of Gynecology and Obstetrics (FIGO) staging system was revised in 2009 [4]. A recent study, furthermore, has shown LVSI to have similar predictability on survival outcomes as the HIR criteria used in GOG-99 [5]. Thus, LVSI might be one of the most important prognostic indicators for survival.

Although age is associated with disease recurrence for early stage, high-risk subgroups of endometrial cancer, there have been some debates in determining adjuvant therapy. The PORTEC-1 found that locoregional relapse rate was threefold higher for patients age 60 and over [1]. Similarly, GOG-99 identified increasing age, including age 70 and over, in addition to other high-risk pathologic features as poor prognostic factors [2]. However, these studies evaluated age as a prognostic factor in all histologic types. Hoffmann et al. [6] found that tumor virulence such as clear cell and papillary serous carcinoma predisposed patients to a worse prognosis, and their incidence was related to increasing age. While several studies reported that age was a significant variable affecting survival after adjusting for other prognostic factors in early stage endometrial cancer, others suggested that age was not an independent prognostic factor for recurrence in surgically staged endometrial cancer patients, even in patients with early stage endometrioid adenocarcinoma [7-10]. Thus, there

was no general agreement concerning age as a prognostic factor for recurrence in patients with endometrial cancer.

To our knowledge, there was no report evaluating the validity of the GOG criteria and investigating the simpler model in the English literature. The purpose of this study was to validate the previous risk criteria for adjuvant therapy and to evaluate the simplified risk criteria predicting the prognosis and tailoring adjuvant treatment.

## MATERIALS AND METHODS

We performed a retrospective analysis of 261 consecutive patients with surgically staged endometrial cancer between January 2000 and February 2013. All patients were histologically confirmed as endometrioid, serous papillary, and mixed carcinoma. Clinical and pathologic data were obtained from medical records after obtaining approval from the Institutional Review Board at Ajou University Hospital.

All patients had complete staging procedures—total hysterectomy, adnexectomy, peritoneal cytology, bilateral pelvic lymphadenectomy, and para-aortic lymphadenectomy—and were surgically staged according to the 2009 FIGO staging system [4]. Patients were classified into three groups based on the GOG criteria: (1) low and low-intermediate risk (LIR) group; (2) HIR group; and (3) high-risk group [2,11]. As described in Table 1, low-risk tumors are confined to the uterus with less than 50% myometrial invasion. Intermediate risk tumors are limited to the uterus with greater than 50% myometrial invasion or cervical metastasis. According to the pathologic risk factors (grade 2 or 3 histology, positive LVSI, and myometrial invasion to outer 1/3) and advanced age, intermediate risk

**Table 1.** Comparison of low-, intermediate-, and high-risk groups in patients with surgically staged endometrial cancer according to the GOG and simplified criteria

Risk group	GOG-99 criteria*	Simplified criteria <sup>†</sup>
Low-risk	IA	IA
Intermediate-risk	IB, IC, II	IB, II
LIR group	Age ≤50 yr +≤2 pathologic risk factors <sup>‡</sup> Age 50–69 yr +≤1 pathologic risk factor Age ≥70 yr +no pathologic risk factors	No pathologic risk factors <sup>§</sup>
HIR group	Any age +3 pathologic risk factors <sup>‡</sup> Age 50–69 yr +≥2 pathologic risk factors Age ≥70 yr +≥1 pathologic risk factor	Any age +≥1 pathologic risk factor <sup>§</sup>
High-risk	III, IV	

GOG, Gynecologic Oncology Group; HIR, high-intermediate risk; LIR, low-intermediate risk.

\*Based on 1988 International Federation of Gynecology and Obstetrics (FIGO) staging system. <sup>†</sup>Based on 2009 FIGO staging system. <sup>‡</sup>(1) Grade 2 or 3 histology; (2) positive lymphovascular space invasion; (3) myometrial invasion to outer 1/3. <sup>§</sup>(1) Grade 2 or 3 histology; (2) positive lymphovascular space invasion.

group was categorized into LIR (age  $\geq 70$  years, no risk factors; age 50 to 69 years,  $\leq 1$  risk factor; age  $\leq 50$  years,  $\leq 2$  risk factors) and HIR (any age, 3 risk factors; age 50 to 69 years,  $\geq 2$  risk factors; age  $\geq 70$  years,  $\geq 1$  risk factor) subgroups. High-risk tumors have metastasis to the ovaries, vagina, LNs, or distant organs. In our institution, vaginal brachytherapy has been considered as the standard adjuvant therapy for HIR patients. Systemic chemotherapy was used for high-risk patients.

Pearson chi-square test and Fisher exact test were used for categorical data, and the Student t-test and Mann-Whitney U statistics for continuous data according to normality. Disease-free survival (DFS) and OS was estimated by the Kaplan-Meier method. Univariate analysis of prognostic factors was performed with the log-rank test for categorical variables. Multivariate analysis was performed using Cox proportional hazards model to assess the influence of prognostic factors on survival and to adjust the effect of confounding variables, and a backward stepwise selection was used to construct an optimum model. We designed the simplified risk criteria for adjuvant treatment according to the results of multivariate analysis. Using these criteria, patients were divided into low and LIR, HIR, and high-risk groups and their survivals were compared. In addition, we used the receiver operating characteristic (ROC) curve for disease recurrence and death in order to compare the new criteria with the GOG's. Statistical analysis was performed using SPSS ver. 12.0 (SPSS Inc., Chicago, IL, USA). A significant level of 0.05 was used for all tests.

## RESULTS

**Table 2** shows clinical and pathologic characteristics of patients. Median follow-up time was 48 months (range, 10 to 122 months). Of the 261 patients, 199 patients presented with stage I (171 IA, 28 IB), 22 had stage II, 33 had stage III (3 IIIA, 2 IIIB, 12 IIIC1, 16 IIIC2), and 7 had stage IV diseases. The majority of patients had endometrioid adenocarcinoma (87.7%) and others (12.3%) had serous adenocarcinoma or mixed carcinoma. Twenty-three patients (8.8%) experienced disease recurrence.

The results of univariate and multivariate analyses are shown in **Table 3**. Using univariate analysis, non-endometrioid histology, tumor grade, myometrial invasion, peritoneal cytologic result, LVSI, lower uterine segment involvement, and adjuvant treatment were related to DFS. The Cox proportional hazards model found that the tumor grade 2 to 3 (odds ratio [OR], 4.49; 95% confidence interval [CI], 1.59 to 12.67) and LVSI (OR, 6.43; 95% CI, 1.97 to 21.06) were independently associated with DFS. Using these two pathologic risk factors (tumor grade and LVSI), we determined the simplified criteria for tailoring adjuvant

therapy (**Table 1**). Based on our simplified criteria, patients were grouped as follows: (1) low-risk patients who had tumors confined to the uterus with less than 50% myometrial invasion and LIR patients who had tumors limited to the uterus with greater than 50% myometrial invasion or cervical metastasis, but no pathologic risk factors; (2) HIR patients whose tumors were confined to the uterus with greater than 50% myometrial invasion or cervical metastasis (2009 FIGO stage IB or II) and one or two pathologic risk factors, irrespective of age; (3) high-

**Table 2.** Patients' characteristics (n=261)

Characteristic	Value
Age (yr)	51 (29–81)
Parity	2 (0–6)
FIGO stage	
IA	171 (65.5)
IB	28 (10.7)
II	22 (8.4)
IIIA	3 (1.1)
IIIB	2 (0.8)
IIIC1	12 (4.6)
IIIC2	16 (6.1)
IVB	7 (2.7)
Histology	
Endometrioid	229 (87.7)
Serous papillary	13 (5.0)
Mixed	19 (7.3)
Tumor grade	
1	177 (67.8)
2	40 (15.3)
3	42 (16.1)
NA	2 (0.8)
Myometrial invasion	
<1/2 involvement	192 (73.6)
$\geq 1/2$ involvement	69 (26.4)
Lymphovascular space invasion	
Absent	197 (75.5)
Present	64 (25.5)
Adjuvant treatment	
No	178 (68.2)
Yes	83 (31.8)
Recurrence	23 (8.8)
Follow-up (mo)	48 (10–122)

Values are presented as median (range) or number (%). FIGO, International Federation of Gynecology and Obstetrics; NA, not available.

**Table 3.** Univariate and multivariate analyses of clinicopathologic factors for disease-free survival in surgically staged endometrial cancer patients

Variable	No.	Univariate analysis		Multivariate analysis	
		p-value	OR (95% CI)	p-value	
Age (continuous)	261	0.07	0.99 (0.95–1.05)	0.91	
Non-endometrioid histology	32	0.01	1.13 (0.20–6.50)	0.89	
Grade					
1	177				
2–3	82	<0.01	4.49 (1.59–12.67)	0.01	
Myometrial invasion $\geq 1/2$	69	<0.01	1.88 (0.60–5.93)	0.28	
Positive peritoneal cytology	11	<0.01	3.90 (0.87–17.56)	0.08	
Lymphovascular invasion					
Absent	197				
Present	64	<0.01	6.43 (1.97–21.06)	<0.01	
Lower uterine segment involvement	42	<0.01	1.07 (0.36–3.19)	0.90	
Adjuvant treatment	83	<0.01	0.43 (0.10–1.86)	0.43	

CI, confidence interval; OR, odds ratio.

**Table 4.** Comparison of patients group, adjuvant treatments, and recurrences according to the Gynecology Oncology Group and simplified criteria

Risk group	GOG criteria*				Simplified criteria <sup>†</sup>				p-value
	No.	Adjuvant treatment		Guideline adherence (recurrence, %)	No.	Adjuvant treatment		Guideline adherence (recurrence, %)	
Low and LIR	197	No	167	167 (2, 1.2)	189	No	169	169 (3, 1.8)	NS <sup>‡</sup>
		Yes	30			Yes	20		
HIR	20	No	6	14 (2, 14.3)	28	No	4	24 (3, 12.0)	NS <sup>‡</sup>
		Yes	14			Yes	24		
High	44	No	5	39 (15, 38.5)	44	No	5	39 (15, 38.5)	NS <sup>‡</sup>
		Yes	39			Yes	39		

GOG, Gynecologic Oncology Group; HIR, high-intermediate risk; LIR, low-intermediate risk; NS, not significant.

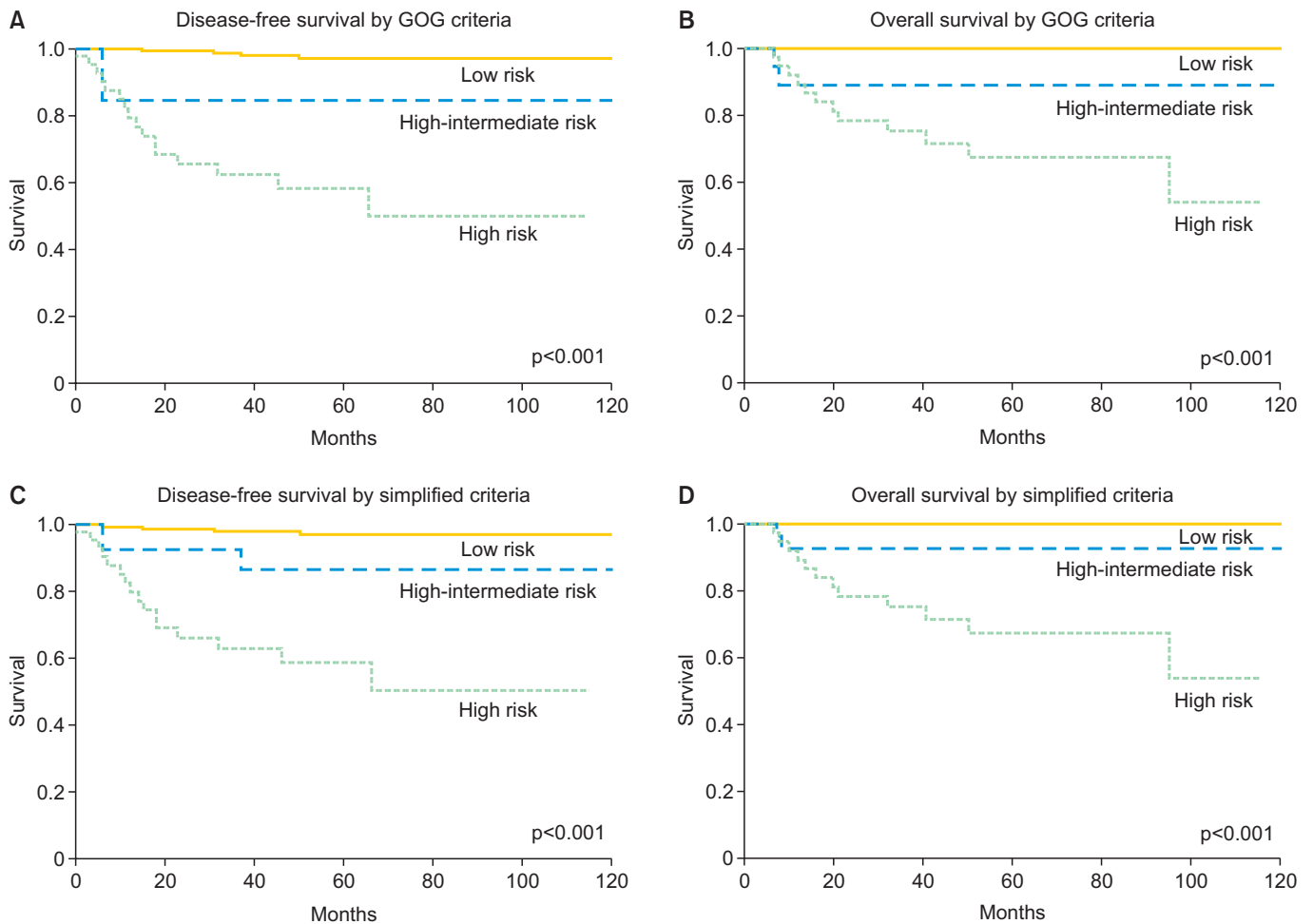
\*Based on 1988 International Federation of Gynecology and Obstetrics (FIGO) staging system. <sup>†</sup>Based on 2009 FIGO staging system. <sup>‡</sup>For the patients who adhered to the GOG and simplified criteria, there was no significant difference in the rate of disease recurrence.

risk patients with metastasis to the ovaries, vagina, LNs, or distant organs (2009 FIGO stage III or IV).

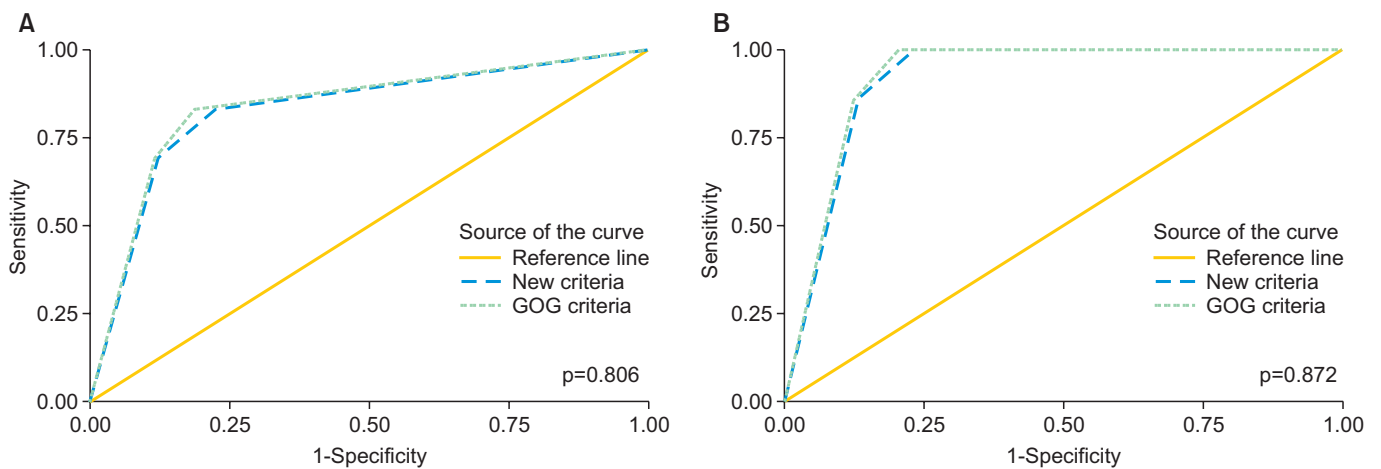
**Table 4** shows comparison of patients group, adjuvant treatments, and recurrences according to the GOG and simplified criteria. Based on the GOG criteria, four patients (2.0%) in the low and LIR groups and three patients (15.0%) in the HIR group had recurrent disease. On the other hand, four patients (2.1%) in the low and LIR groups and three patients (10.7%) in the HIR group had disease recurrence according to our criteria. For the patients who adhered to these two guidelines; thus, there was no significant difference in the rate of disease recurrence (**Table 4**). According to the GOG criteria, we identified 197 low and LIR patients, 20 HIR patients, and 44 high-risk patients. There were significantly differences in DFS ( $p < 0.001$ ) and OS ( $p < 0.001$ )

among the three groups (**Fig. 1**). Using the simplified criteria, we identified 189 low and LIR patients, 28 HIR patients, and 44 high-risk patients. There were significant differences in DFS ( $p < 0.001$ ) and OS ( $p < 0.001$ ) among the three groups (**Fig. 1**). Using the Kaplan-Meier method, we found that low and LIR patients by each of the above-noted criteria had best DFS and OS than HIR and high-risk patients. These differences were statistically significant. Thus, the simplified criteria can discriminate patients as well as the GOG criteria.

ROC curves were obtained to evaluate the performance of two criteria for disease recurrence and deaths in patients who underwent surgical staging (**Fig. 2**). The performance of the simplified criteria (disease recurrences: area under the curve [AUC], 0.829 [95% CI, 0.732 to 0.926]; deaths: AUC, 0.916 [95%



**Fig. 1.** (A) Disease-free survival and (B) overall survival by the Gynecologic Oncology Group (GOG) criteria and (C) disease-free survival and (D) overall survival by the simplified criteria.



**Fig. 2.** Receiver-operating characteristics curve on (A) disease-free survival and (B) overall survival. GOG, Gynecologic Oncology Group.

CI, 0.877 to 0.956]) was as good as the GOG's (disease recurrences: AUC, 0.836 [95% CI, 0.740 to 0.931]; deaths: AUC, 0.921 [95% CI, 0.883 to 0.958]). There were no statistical differences between ROC curves of both criteria on DFS ( $p=0.806$ ) and OS ( $p=0.872$ ).

## DISCUSSION

The objective of our study was to determine whether the GOG criteria are still valid in a different cohort of patients. Keys et al. [2] suggested the HIR criteria for adjuvant RT in surgically staged endometrial cancer patients with HIR based on the 1998 FIGO staging system and showed that there was a significant improvement of DFS in patients receiving adjuvant pelvic RT. We adopted the GOG criteria to classify patients into low and LIR, HIR, and high-risk groups and compared survivals among three groups. In our study, low and LIR patients had best DFS and OS than HIR and high-risk patients. This suggests that the GOG criteria could be well applicable to different cohorts and patients who would benefit from adjuvant therapy be properly selected. However, it is difficult to adopt the GOG criteria easily because of their complicated combinations.

Tumor grade, depth of myometrial invasion, and cervical stromal invasion of endometrial cancer have been shown to be significant indicators, hence their inclusion in the FIGO staging system. During the last 20 years, age has become one of the most debated topics determining adjuvant therapy for early stage, high-risk subgroups of endometrial cancer. The PORTEC trial found that locoregional recurrence rate was three-fold higher for patients age 60 and over [1]. Similarly, the GOG 99 identified increasing age, including age  $\geq 70$  years, as poor prognostic factors [2]. However, several factors, including more aggressive histologic type, poor immunological defense against cancer, or less cancer-directed therapy in the elderly, may affect this analysis. First, these RCTs evaluated age as a prognostic factor in early stage endometrial cancer patients with all histologic types including papillary serous and clear cell. Hoffman et al. [6] showed that endometrial cancer in the elderly (75 to 92 years of age) is more aggressive, histologically less differentiated, and often nonendometrioid compared with endometrial cancer in the general population. Furthermore, age greater than 70 in patients with endometrioid endometrial cancers was not a statistically significant predictor of poor outcomes for OS after adjusting for other poor prognostic variables [9,10]. Second, the poor prognosis associated with advanced age may be in part related to the decreased frequency of surgical treatment [12]. Third, Truong et al. [13] reported that reduced use of postoperative RT in

stage IC disease was observed among women with advanced age and high comorbidity index. Therefore, age might be a less meaningful prognostic factor in women with endometrial cancer. Our study also showed that age was not a significant prognostic factor for disease recurrence.

LVSI has been shown repeatedly to be an independent poor prognostic factor for recurrence among patients with endometrial cancer [14-18]. Several studies suggested that poor outcome in histology, myometrial invasion, and LN metastasis might relate to their LVSI status. First, in the absence of LVSI, patients with endometrioid, serous, and clear cell histology would have a similar prognosis [17]. Second, Honore et al. [19] noted that there was a significant increase in the risk of LVSI as the depth of myometrial invasion increases, with the relative risk being highest with full-thickness myometrial invasion. Lastly, the presence of LVSI has been reported to be associated with pelvic and/or para-aortic LN metastases, which are the most important prognostic factors in endometrial cancer [20,21]. In addition to these findings, Gadducci et al. [22] analyzed the histopathologic variables predictive of the risk of local, distant, and retroperitoneal LN recurrence. Cervical involvement was an independent predictor of local relapse, while LVSI, myometrial invasion, and tumor grade were an independent predictor of distant failure. Therefore, among revised 2009 FIGO stage IB or II patients who showed invasion equal to or more than half of the myometrium or cervical stromal invasion, LVSI and tumor grade may serve as risk factors for recurrence. Using multivariate analysis in our study, LVSI and tumor grade were independent risk factors for prognosis. Thus, we included these factors (LVSI and tumor grade) for formulating the simplified criteria.

We applied the GOG criteria and simplified criteria to our patients and compared survivals according to different criteria. Using the Kaplan-Meier method, we found that low and LIR patients by each of the above-noted criteria had best DFS and OS than HIR and high-risk patients. These differences were statistically significant. Thus, this simplified model can discriminate patients as well as the GOG's. Our simplified criteria are simpler and more convenient to apply than the GOG criteria. Using ROC curves, we demonstrated that the performance of the simplified criteria was equal to that of the GOG criteria. Moreover, to our knowledge, this is the first study to validate the GOG criteria and investigate the simplified criteria for tailoring adjuvant RT.

Adjuvant external beam pelvic radiotherapy (EBRT) prevents the majority of pelvic disease recurrence, but many patients still die of distant metastatic disease [1,2,23]. Thus, there were several reports on adjuvant therapy to improve OS and decrease toxicity in endometrial cancer patients with HIR





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