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## Pre-transplant Evaluation of Donor Urinary Biomarkers can Predict Reduced Graft Function After Deceased Donor Kidney Transplantation

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Abstract: Several recipient biomarkers are reported to predict graft dysfunction, but these are not useful in decision making for the acceptance or allocation of deceased donor kidneys; thus, it is necessary to develop donor biomarkers predictive of graft dysfunction. To address this issue, we prospectively enrolled 94 deceased donors and their 109 recipients who underwent transplantation between 2010 and 2013 at 4 Korean transplantation centers. We investigated the predictive values of donor urinary neutrophil gelatinase-associated lipocalin (NGAL), kidney injury molecule-1 (KIM-1), and L-type fatty acid binding protein (L-FABP) for reduced graft function (RGF). We also developed a prediction model of RGF using these donor biomarkers. RGF was defined as delayed or slow graft function. Multiple logistic regression analysis was used to generate a prediction model, which was internally validated using a bootstrapping method. Multiple linear regression analysis was used to assess the association of biomarkers with 1-year graft function. Notably, donor urinary NGAL levels were associated with donor AKI (P = 0.014), and donor urinary NGAL and L-FABP were predictive for RGF, with area under the receiver-operating characteristic curves (AUROC) of 0.758 and 0.704 for NGAL and L-FABP, respectively. The best-fit model including donor urinary NGAL, L-FABP, and serum creatinine conveyed a better predictive value for

This research was supported by Basic Science Research Program through the National Research Foundation of Korea (NRF) funded by the Ministry of Science, ICT and Future Planning (2012R1A1A2003471), and a grant from the SK Telecom Research Fund (3420130130).

The study sponsors did not participate in any aspect of this study.

The authors have no conflicts of interest to disclose.

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ISSN: 0025-7974

DOI: 10.1097/MD.00000000003076

RGF than donor serum creatinine alone (P = 0.02). In addition, we generated a scoring method to predict RGF based on donor urinary NGAL, L-FABP, and serum creatinine levels. Diagnostic performance of the RGF prediction score (AUROC 0.808) was significantly better than that of the DGF calculator (AUROC 0.627) and the kidney donor profile index (AUROC 0.606). Donor urinary L-FABP levels were also predictive of 1-year graft function (P = 0.005). Collectively, these findings suggest donor urinary NGAL and L-FABP to be useful biomarkers for RGF, and support the use of a new scoring system based on donor biomarkers to facilitate decision-making in acceptance and allocation of deceased donor kidneys and contribute to maximal organ utilization.

(Medicine 95(11):e3076)

Abbreviations: AKI = acute kidney injury, AUROC = area under the receiver-operating characteristic curves, BMI = body mass index, CI = confidence interval, DDKT = deceased donor kidney transplantation, DGF = delayed graft function, ECD = expanded criteria donor, IGF = immediate graft function, KDPI = kidney donor profile index, KIM-1 = kidney injury molecule-1, L-FABP = L-type fatty acid-binding protein, NGAL = neutrophil gelatinaseassociated lipocalin, RGF = reduced graft function, ROC = receiver-operating characteristic, SGF = slow graft function, uKIM-1 = urinary kidney injury molecule-1, uL-FABP = urinary L-type fatty acid-binding protein, uNGAL = urinary neutrophil gelatinase-associated lipocalin.

#### INTRODUCTION

he number of end-stage renal disease patients on the waiting lists for deceased donor kidney transplantation (DDKT) has grown extensively while that of available kidneys remains static. As a result, the waiting time for DDKT is increasing. Several strategies have been developed to increase the supply of organs, including the use of suboptimal donors - such as expanded criteria donors (ECDs). However, donors with acute kidney injury (AKI) at the time of organ procurement are often rejected for kidney transplantation because of variable outcomes. The quality of the donor kidney affects short- and long-term allograft outcomes.<sup>1,2</sup> Although various algorithms or scoring systems have been developed to evaluate kidneys from deceased donors,<sup>3–8</sup> arbitrary risk categorizations, unclear decision thresholds, excessive variables, and small differences in overall scores limit their usefulness. Serum creatinine or estimated glomerular filtration rate (eGFR) are commonly considered as assessment tools for evaluating deceased donor kidneys; however, during AKI, these levels do not represent the steady-state, and these values do not predict posttransplant outcomes very well.<sup>9,10</sup>

Editor: Darshika Chhabra.

Received: October 12, 2015; revised: February 12, 2016; accepted: February 24, 2016.

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Recently, new biomarkers such as urinary neutrophil gelatinase-associated lipocalin (uNGAL), urinary kidney injury molecule-1 (uKIM-1), and urinary L-type fatty acid-binding protein (uL-FABP) reflecting early pathological processes have been developed for the early diagnosis of AKI or ischemic injury.<sup>11–16</sup> Several attempts have been made to use recipient biomarker data for the prediction of graft dysfunction.9,14,16-18 However, surveying recipient biomarkers is not useful in decision making for the acceptance or allocation of deceased donor kidneys before DDKT. In contrast, donor biomarker analysis could serve as a valuable assessment tool for the quality of donor kidneys because these reflect multiple potential events for donor kidney injuries before kidney donation. Early graft dysfunction - defined as delayed or slow graft function (SGF) – has increasingly occurred since kidneys from ECD and those with acute injuries were used.<sup>1</sup> Early graft dysfunction is associated with a higher incidence of acute rejection, worse early posttransplant renal function, and poorer long-term graft survival.<sup>19–23</sup> Therefore, we investigated the usefulness of donor uNGAL, uKIM-1, and uL-FABP levels in predicting early graft dysfunction. Additionally, we developed a prediction model of early graft dysfunction using these donor biomarkers.

#### **METHODS**

## Patients

We prospectively enrolled deceased donors after brain death who successfully donated their kidneys between July 2010 and June 2013 at 4 Korean transplantation centers (Seoul National University Hospital, Chonbuk National University Hospital, Samsung Medical Center, and Inje University Busan Paik Hospital). All kidneys from the deceased donors were transplanted; however, 79 kidneys were delivered to hospitals that did not participate in this study. As a result, 94 deceased donors and 109 their recipients were enrolled. The Institutional Review Board approved the study protocol (H-1105-110-363), and informed consent was obtained from the legal guardians of donors. This study was performed in accordance with the Helsinki Declaration of 2000 and the Declaration of Istanbul 2008.

## **Data Collection**

All clinical data were obtained from medical records. Donor renal function was evaluated at admission for organ donation and in the morning on the day of transplantation. eGFR was estimated using the Modification of Diet in Renal Disease (MDRD) equation for GFR<sup>24</sup> in adults and the Schwartz equation<sup>25</sup> in the 10 pediatric donors. ECDs were defined as previously described.<sup>26</sup> Recipient renal function was evaluated on 1 week and 1, 3, 6, and 12 months posttransplantation. Acute rejection episodes were defined as either biopsy-proven rejection or clinically suspected acute rejection improved by empirical steroid pulse therapy. Allograft loss was defined as the need to return to dialysis. Early graft function was classified as delayed graft function (DGF) for recipients requiring dialysis within the 7 days after transplantation, SGF or immediate graft function (IGF) for patients with serum creatinine levels  $\geq 2.5 \text{ mg/dL}^2$  or  $\leq 2.5 \text{ mg/dL}$  without dialysis 7 days after transplantation, respectively. Several studies reported that not only patients with DGF but also patients with SGF showed worse short- and long-term outcomes than those with IGF, with the increased incidence of acute rejection in the first 6 months and a negative impact on long-term graft and patient survival rates.<sup>19-23</sup> Therefore, we adopted reduced graft function (RGF)

## Measurement of uNGAL, uKIM-1, and uL-FABP Levels

Pretransplant urine samples were obtained from all deceased donors at admission for organ donation and in the morning on the day of operation (D0). Fresh urine samples from both donors and recipients were immediately centrifuged at  $5000 \times g$  to remove insoluble elements. Aliquots of the supernatant were stored at -80 °C and thawed at 37 °C before analysis. Urine creatinine levels were measured by standard enzymatic methods with an automated analyzer. All donor biomarker values were normalized to urine creatinine levels.

Enzyme-linked immunosorbent assays (ELISAs) were performed in duplicate using the neutrophil gelatinase-associated lipocalin (NGAL) ELISA kit (BioPorto Diagnostics, Gentofte, Denmark), the human TIM-1/kidney injury molecule-1(KIM-1)/ HAVCR Quantikine ELISA kit (R&D System, Minneapolis, MN), and the L-type fatty acid-binding protein (L-FABP) human ELISA kit (Hycult, Biotech, Uden, The Netherlands).

## Immunohistochemical Staining for NGAL, KIM-1, and L-FABP

Zero time (D0) protocol biopsies were performed before perfusion and collected at 1 center. Specimens were graded according to the Banff' 2007 classification.<sup>28</sup> Renal graft tissue immunostaining was performed with antibodies against NGAL (Sigma Aldrich, St. Louis, MO), KIM-1 (R&D Systems), and L-FABP (R&D Systems). Their expression levels were evaluated based on the proportion of immunopositive cells in the total tubular area as previously described.<sup>14,15,29</sup>

## **Statistical Analysis**

Chi-squared tests were used for categorical variables and Student's t-tests were used for continuous variables. Mann-Whitney U-tests were used for continuous variables with a skewed distribution. Correlations between urine biomarkers and continuous variables were assessed by Spearman correlation. The relationship between biomarkers and donor AKI was analyzed by multiple logistic regression analyses. Multiple linear regression analysis with a stepwise variable selection was used to assess the relationship between biomarkers and eGFR at 1 year after transplantation. The diagnostic performances of biomarkers to predict RGF were evaluated by receiveroperating characteristic (ROC) curves analyses. Multiple logistic regression analyses with a backward variable selection were used to assess the association between biomarkers and RGF in the presence of covariates and to produce the best-fit model for predicting RGF. First, we produced a full model including biomarkers and all relevant clinical factors as covariates. Then, we estimated Akaike information criterion in the each model of the backward selection process, and reduced the model until Akaike information criterion no longer improved to minimize the overfitting of the models and select the best-fit model.<sup>30</sup> Validation and calibration of the best-fit model were performed, internally in the full model with 1000 bootstrap resamples drawn using the 0.632 bootstrap resampling technique.<sup>31,32</sup> The bootstrap resampling technique is an effective technique for internal validation and calibration of a prediction model.<sup>31</sup>

Validation and calibration using bootstrap resampling would estimate the likely performance of the model on a new sample of patients from a similar clinical setting, and measure the degree of error between the predictive probability and observed probability of the model. The estimate of optimism and the amounts of errors between the predicted probability and the actual probability of RGF in the best-fit model were calculated through the validation and calibration procedure previously described.<sup>33</sup>

The RGF prediction score was established using the bestfit model, and the nomogram points for the score were depicted proportionally to the unstandardized  $\beta$  coefficients of the significant predictors in the best-fit model. The points of all significant predictors were summed to a total point. ROC curve analyses were used to assess diagnostic performances of the score and the cut-off point for RGF occurrence was determined using the Youden-J index. The goodness of fit of the scoring method was determined by Hosmer-Lemeshow test and Levenberg-Marquardt nonlinear regression analysis. The diagnostic performance of the score was compared with that of the kidney donor profile index (KDPI)<sup>34</sup> and the DGF risk calculator<sup>7</sup> using the DeLong test.<sup>35</sup> All statistical analyses were performed using R.v.3.1.3 for Windows and designated R packages including rms, pROC, ROCR and OptimalCutpoints for logistic regression analysis, validation, calibration, identifying optimal cut-off values, and comparisons between ROC curves.

## RESULTS

## **Characteristics of the Study Population**

The multicenter, prospective, and observational study enrolled 94 deceased donors and 109 corresponding recipients. The clinical characteristics of the donors are shown in Table 1, recipient characteristics and transplantation details are summarized in Table 2. Ten pediatric donors (14–20 years old) were included, and there was no en bloc transplantation. DGF and SGF occurred in 15.6% and 4.6% of recipients, respectively. The overall 1-year patient and graft survival rate was 99.1%, and 95.4%, respectively. Acute rejection occurred in 21.1% of recipients. Mean cold ischemic time was 177 minutes, which was markedly shorter than that in other western countries.

The renal function of donors at admission for organ donation and D0 was significantly lower in the RGF group than in the IGF group (Table 1). Cold ischemic time was longer, and tacrolimus was used less often in the RGF group than in the IGF group (Table 2). Posttransplant renal functions during the 1st year after transplantation were also significantly worse in the RGF group than in the IGF group (Table 2). However, there were no significant differences between the RGF group and the IGF group in other characteristics of donor, recipient, or transplantation-related characteristics (Tables 1 and 2).

## **Expression of Biomarkers in D0 Protocol Biopsies**

D0 protocol biopsies were collected from 46 recipients. There were no abnormalities in 80.4% of patients. Mild interstitial infiltration and acute tubular necrosis were found in 2.2% and 8.7% of patients, respectively. No significant difference in Remuzzi score was observed between the RGF and the IGF groups (data not shown).<sup>36</sup>

NGAL, KIM-1, and L-FABP were detected in 66.7%, 14.3%, and 95.2% of samples, respectively (Supplemental Figure 1, http://links.lww.com/MD/A779). Overall, 90.5% of

Donors	Total (n = 109)	IGF (n = 87)	RGF (n = 22)	P Value
Age, mean, years	$43 \pm 16$	$43 \pm 16$	$47 \pm 15$	0.394
Female	35 (32.1%)	26 (29.9%)	9 (40.9%)	0.321
Hypertension	23 (21.1%)	18 (20.7%)	5 (22.7%)	0.778
Diabetes	5 (4.6%)	4 (4.6%)	1 (4.5%)	0.735
Cause of death				0.787
Trauma	40 (36.7%)	33 (37.9%)	7 (31.9%)	
CVA	43 (39.4%)	32 (36.8%)	11 (50.0%)	
Others	21 (19.3%)	18 (20.7%)	3 (13.6%)	
Suicide	4 (3.7%)	3 (3.5%)	1 (4.5%)	
Unknown	1 (0.9%)	1 (1.1%)	0 (0.0%)	
Inotropics use				0.205
None	13 (11.9%)	11 (12.6%)	2 (9.1%)	
1	37 (34.0%)	26 (29.9%)	11 (50.0%)	
>2	59 (54.1%)	50 (57.5%)	9 (40.9%)	
ECD (UNOS)	27 (24.8%)	21 (24.1%)	6 (27.3%)	0.785
Hospital days	$5\pm 12$	$6 \pm 12$	$2\pm 1$	0.456
SCr at admission for donation, mg/dL	$1.4 \pm 0.8$	$1.3 \pm 0.7$	$1.8 \pm 0.8$	0.007
eGFR at admission for donation, mL/min/1.73 m <sup>2</sup>	$73.8\pm50.6$	$80.4\pm53.3$	$46.6\pm22.6$	0.002
SCr immediately before KT, mg/dL	$1.4 \pm 0.8$	$1.3 \pm 0.7$	$1.9 \pm 1.1$	0.014
eGFR immediately before KT, mL/min/1.73 m <sup>2</sup>	$79.8\pm56.6$	$84.6\pm54.8$	$60.6\pm60.5$	0.004
KDPI	$52\pm28$	$50\pm28$	$61\pm28$	0.707
KDPI > 80%	20 (18.3%)	14 (16.1%)	6 (27.3%)	0.231

**TABLE 1.** Baseline Characteristics of Donors

Continuous variables are reported as the mean  $\pm$  standard deviation. Categorical variables are listed as total number (%). CVA = cardiovascular accident, ECD = expanded criteria donor, eGFR = estimated glomerular filtration rate, IGF = immediate graft function, KDPI = kidney donor profile index, KT = kidney transplantation, RGF = reduced graft function, SCr = serum creatinine, UNOS = United Network of Organ Sharing. \*Continuous variables were compared using Student's *t*-tests, and categorical variables were compared using the chi-squared test.

Recipients	Total (n = 109)	IGF (n = 87)	RGF $(n = 22)$	P Value*
Age. mean. vears	$46 \pm 14$	$45 \pm 14$	$46 \pm 13$	0.925
Female	38 (34.9%)	29 (33.3%)	9 (40.9%)	0.617
Cause of ESRD		· · · · ·	· · · ·	0.905
HTN	18 (16.5%)	15 (17.2%)	3 (13.6%)	
DM	16 (14.7%)	12 (13.8%)	4 (18.2%)	
GN	43 (39.4%)	36 (41.5%)	7 (31.9%)	
PKD	7 (6.5%)	5 (5.7%)	2 (9.1%)	
Others	6 (5.5%)	5 (5.7%)	1 (4.5%)	
Unknown	19 (17.4%)	14 (16.1%)	5 (22.7%)	
Mode of dialysis			· · · · ·	0.191
HD	77 (70.6%)	58 (66.7%)	19 (86.4%)	
PD	23 (21.1%)	21 (24.1%)	2 (9.1%)	
HD + PD	9 (8.3%)	8 (9.2%)	1 (4.5%)	
Duration of dialysis, month	$76 \pm 49$	$77\pm50$	$71 \pm 44$	0.618
Cold ischemia time, minute	$177 \pm 94$	$168 \pm 91$	$214 \pm 95$	0.045
PRA I %	$16 \pm 31$	$15 \pm 30$	$17 \pm 34$	0.773
PRA II %	$10 \pm 24$	$9\pm22$	$17\pm29$	0.212
Number of HLA mismatch	$4\pm 2$	$3\pm 2$	$4\pm1$	0.972
EPTS score	$37 \pm 25$	$37\pm25$	$34\pm26$	0.595
Induction regimen				0.325
No induction	6 (5.5%)	6 (6.9%).	0 (0.0%)	
ATG	9 (8.3%)	8 (9.2%)	1 (4.5%)	
Basiliximab	94 (86.2%)	73 (83.9%)	21 (95.5%)	
CNI				< 0.001
Cyclosporin	8 (7.3%)	3 (3.4%)	5 (22.7%)	
Tacrolimus	101 (92.7%)	84 (96.6%)	17 (77.3%)	0.008
mTOR Inhibitor	0 (0.0%)	0 (0.0%)	0 (0.0%)	NA
Antimetabolite				0.112
Mycophenolate mofetil	61 (56.0%)	52 (59.8%)	9 (40.9%)	
Mycophenoleic acid	48 (44.0%)	35 (40.2%)	13 (59.1%)	
Steroid	109 (100.0%)	87 (100.0%)	22 (100.0%)	0.202
Acute rejection	23 (21.1%)	16 (18.4%)	7 (31.9%)	0.081
Graft loss	5 (4.6%)	3 (3.5%)	2 (9.1%)	0.265
Posttransplantation renal functions				
SCr, at 1 week, mg/dL	$2.0 \pm 2.2$	$1.2 \pm 0.4$	$5.1 \pm 3.3$	< 0.001
eGFR, at 1 week, mL/min/1.73 $m^2$	$57.0\pm30.7$	$66.3\pm25.0$	$20.2\pm22.5$	< 0.001
SCr, at 10 days, mg/dL <sup><math>\dagger</math></sup>	$1.5 \pm 1.5$	$1.1 \pm 0.4$	$5.4 \pm 3.2$	< 0.001
eGFR, at 10 days, mL/min/1.73 $m^{2\dagger}$	$70.3 \pm 34.3$	$75.7\pm30.8$	$13.7\pm7.3$	< 0.001
SCr, at 1 month, mg/dL	$1.4 \pm 0.8$	$1.2 \pm 0.4$	$1.9\pm1.6$	0.014
eGFR, at 1 month, mL/min/1.73 $m^2$	$64.1\pm30.0$	$65.8\pm24.5$	$57.1\pm46.6$	0.008
SCr, at 12 months, mg/dL	$1.5 \pm 1.3$	$1.5\pm1.5$	$1.5\pm0.4$	0.023
eGFR, at 12 months, mL/min/1.73 $m^2$	$58.7\pm20.1$	$60.8\pm20.3$	$50.1\pm16.7$	0.014

TABLE 2.	Baseline	Characteristics	of	Recipient
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Continuous variables are reported as mean  $\pm$  standard deviation. Categorical variables are listed as total number (%). ATG = antithymocyte globulin, CNI = calcineurin inhibitor, DM = diabetes mellitus, eGFR = estimated glomerular filtration rate, EPTS = estimated posttransplant survival, ESRD = end-stage renal disease, GN = glomerulonephritis, HD = hemodialysis, HLA = human leukocyte antigen, HTN = hypertension, IGF = immediate graft function, mTOR inhibitor = mammalian target of rapamycin inhibitor, NA = not applicable, PD = peritoneal dialysis, PKD = polycystic kidney disease, PRA = panel reactive antibody, RGF = reduced graft function, SCr = serum creatinine.

\* Continuous variables were compared using the Student's t-tests, and categorical variables were compared using the chi-squared test.

<sup> $\dagger$ </sup> Total, n = 46 (IGF, n = 42; RGF, n = 4).

the samples had a KIM-1 expression score of 0.5, 81.0% had a score of 1, 66.7% had a score of 2, and 14.3% had a score of 3. However, tubular expression of NGAL, KIM-1, or L-FABP was not significantly different between the RGF and IGF groups. Furthermore, no correlation was observed between renal function and NGAL or L-FABP staining intensity or KIM-1 score at any time point after transplantation.

## **Urine Biomarkers of Donors**

Donor uNGAL and uL-FABP levels were significantly higher in the RGF group than in the IGF group at admission for organ donation and D0; however, the statistical strength of associations at admission for organ donation was lower than those at D0 (Table 3). Furthermore, both uNGAL at D0 (r = -0.419, P < 0.001) and uL-FABP at D0 (r = -0.190,

TABLE 3.	Comparison	of Urinary	Biomarkers	Between	the	Immediate	Graft	Function	Group	and	the	Reduced	Graft	Func-
tion Group	0													

Biomarkers of Donor at	Total $(n-100)$	IGF	$\mathbf{RGF}$	P Voluo*
	(II - 109)	(II = 87)	(II = 22)	<i>I</i> value
Urinary NGAL (µg/g Cr)	4451	2968 (1119, 17,126)	18,752 (4838, 46,501)	0.006
Urinary KIM-1 (µg/g Cr)	0.09	0.09 (0.05, 0.22)	0.09 (0.04, 0.19)	0.717
Urinary L-FABP (µg/g Cr)	568	511 (167, 1066)	931 (568, 1290)	0.020
Biomarkers of donor at D0	Total (n = 109)	<b>IGF</b> ( <b>n</b> = <b>87</b> )	RGF (n = 22)	P value*
Urinary NGAL (µg/gCr)	8066	5647 (1123, 14,390)	34,554 (9309, 65,747)	< 0.001
Urinary KIM-1 (µg/g Cr)	0.11	0.1 (0.05, 0.24)	0.12 (0.07, 0.16)	0.934
Urinary L-FABP (µg/g Cr)	492	410 (157, 938)	852 (492, 1743)	0.003

The data were presented as the median (the 1st quartile, the 3rd quartile). Cr = urine creatinine, IGF = immediate graft function, KIM-1 = kidney injury molecule-1, L-FABP = L-type fatty acid binding protein, NGAL = neutrophil gelatinase-associated lipocalin, RGF = reduced graft function. \* Comparison between the IGF group and the RGF group using Mann–Whitney U-tests. D0, in the morning of the day of transplantation operation.

P = 0.048) were correlated with donor eGFR at D0. Moreover, donor uNGAL, uKIM-1, and uL-FABP levels were not affected by donor age, donor history of hypertension, recipient gender, duration of dialysis, recipient body mass index (BMI), recipient diabetes, or immunosuppressant use (data not shown).

## Association of Biomarkers with Donor Acute Kidney Injury

Before transplantation, 18% of donors had AKI on the basis of final serum creatinine level.<sup>27</sup> In univariate logistic regression analyses, uNGAL at D0 was significantly associated with donor AKI (odds ratio [OR] 2.22, 95% confidence interval [CI] 1.13–4.37, P = 0.021). Neither uKIM-1 at D0 nor uL-FABP at D0 was associated with donor AKI in univariate analyses (OR 1.32, 95% CI 0.44–3.98, P = 0.624 and OR 1.18, 95% CI 0.50–2.78, P = 0.700, respectively). Multiple logistic regression analyses adjusted for donor age, donor height, donor weight, history of hypertension, history of diabetes, and stroke as cause of death<sup>37</sup> showed that donor uNGAL was also significantly associated with donor AKI (OR 8.99, 95% CI 1.25–7.14, P = 0.014).

## Predictive Performances of Biomarkers for RGF

The diagnostic performances of biomarkers for predicting RGF was assessed using ROC curve analysis. uNGAL, uL-FABP, and donor serum creatinine levels at D0 were significant predictors of RGF, whereas uKIM-1 was not (Figure 1). Diagnostic performance of uNGAL (area under the receiver-operating characteristic curves [AUROC] = 0.758, 95% CI 0.645–0.871) and uL-FABP (AUROC = 0.704, 95% CI 0.592–0.817) were slightly larger than that for serum creatinine (AUROC = 0.670, 95% CI 0.531–0.808), but the differences were not significant (uNGAL vs serum creatinine, P = 0.330; uL-FABP vs serum creatinine, P = 0.730; DeLong test).

To evaluate the usefulness of the donor biomarkers as pretransplant RGF predictors, we generated a prediction model that included donor biomarkers and other relevant clinical factors already known to be predictive for RGF.<sup>4–7,34</sup> Univariate logistic regression analyses showed that donor serum creatinine, cold ischemic time, and uNGAL and uL-FABP at D0 were significantly associated with RGF. Multivariate logistic regression analysis demonstrated that the reduced best-fit model still included donor serum creatinine, and uNGAL and uL-FABP at D0 as RGF predictors after other variables were adjusted (Table 4).

# Validation of the Model Including Biomarkers for RGF

Using predictors relatively associated with RGF as indicated by the multivariate logistic regression analysis, we performed ROC curve analysis to assess the diagnostic performance of the best-fit model for RGF development. The AUROC of the best-fit model was 0.816 (95% CI 0.716-0.917, P < 0.001), which was significantly better than the diagnostic performance of donor creatinine alone for RGF (Figure 1E, Table 4). Validation of the best-fit model with 1000 bootstrap resamples showed that the estimate of optimism was 0.064 and the bias-adjusted AUROC of the best-fit model was 0.752 (Supplemental Figure 2, http://links.lww.com/MD/A779). The estimates of both models were generally linear and agreed well with the ideal line. The mean absolute error and 0.9 quantile absolute error of the predicted probability were 0.029 and 0.039, respectively, suggesting only a small degree of bias from overfitting.

### Prediction Score for RGF

Using the best-fit model, we generated a nomogram for a scoring method predictive for RGF (Figure 2, Table 5). uNGAL and uL-FABP were log10-transformed before they were included in the model. The prediction score ranged from 0 to 220. The AUROC of the prediction score was 0.808 (95% CI 0.707-0.909, P < 0.001). We compared our model with other well-known models, including the DGF calculator' and KDPI.<sup>34</sup> Notably, the AUROCs of the DGF calculator and KDPI for predicting RGF were 0.627 (95% CI 0.481-0.772, P=0.016) and 0.606 (95% CI 0.471–0.740, P = 0.190), respectively. The diagnostic performance of the RGF prediction score was significantly better than those for both the DGF calculator and KDPI (P = 0.046 and 0.019, respectively; DeLong tests). The optimal cut-off value of the prediction score was  $\geq$ 144 points, as determined by the maximum values of the Youden-J indexes. The sensitivity and the specificity of the prediction score at this value were 86.4% and 65.5%, respectively. Since the prevalence of RGF was 20.2%, the positive and negative predictive values of the prediction score were 38.7% and 95.0%, respectively (Figure 3). The Hosmer-Lemeshow goodness-of-fit test showed a P value of 0.85 for the prediction score. When the



**FIGURE 1.** The ROC curve indicating performance for donor biomarkers at D0 in discriminating between patients with immediate graft function and reduced graft function. The ROC curves of uNGAL at D0 (A), urine uL-FABP at D0 (B), uKIM-1 at D0 (C), and serum creatinine at D0 (D). (E) The ROC curve of the model including donor uNGAL, donor uL-FABP, and serum creatinine of donor at D0. The 95% CI is shown. Diagnostic performance of the best-fit model including uNGAL, uL-FABP, and serum creatinine (solid line) was significantly better than that of the model including serum creatinine alone (dashed line) (P = 0.022; DeLong test). CI = confidence interval, ROC = receiver-operating characteristic, uKIM-1 = urinary kidney injury molecule-1, uL-FABP = L-type fatty acid-binding protein, uNGAL = urinary neutrophil gelatinase-associated lipocalin.

patients were equally divided into 5 groups based on their prediction score, the observed probability of RGF corresponding to the average prediction score of each group was also well-matched with the probability predicted from the average prediction score (Levenberg–Marquardt nonlinear regression coefficient  $R^2 = 0.967$ ; Figure 3).

## Predictive Performances of Biomarkers for Graft Function at 1 Year After Transplantation

The uNGAL levels at D0 were negatively correlated with eGFR at 3, 6, and 12 months after transplantation (r = -0.249, P = 0.01; r = -0.261, P = 0.007; and r = -0.193, P = 0.047,

		Univariate		Multivariate			
	OR	95% CI	P Value	OR	95% CI	P Value	
Donor age	1.017	0.986-1.049	0.289				
Donor serum creatinine on the day of KT	2.161	1.248-3.742	0.006	2.091	1.091-4.010	0.026	
Donor cause of death – CVA	1.719	0.670-4.411	0.260				
Donor history of hypertension	1.127	0.366-3.469	0.834				
Cold ischemic time	1.005	1.000 - 1.010	0.042				
Recipient age	1.005	0.972 - 1.040	0.764				
Recipient gender	0.722	0.277 - 1.886	0.506				
Recipient BMI	1.051	0.922-1.199	0.456				
Duration of dialysis before transplantation	0.997	0.987 - 1.007	0.578				
Log-transformed D0 NGAL	3.670	1.729-7.791	0.001	2.143	0.920-4.992	0.077	
Log-transformed D0 L-FABP	4.879	1.633-14.579	0.005	4.001	0.994-16.100	0.051	

#### TABLE 4. Univariate and Multivariate Logistic Regression Analyses for the Predictors of Reduced Graft Function

To find the best-fit model for predicting RGF, a backward variable selection procedure was performed during the multivariate logistic regression analysis. The best-fit model included donor creatinine, uNGAL at D0 and uL-FABP at D0 as significant predictors of RGF after the other variables were adjusted. D0, in the morning on the day of transplantation operation. BMI = body mass index, CI = confidence interval, CVA = cerebrovascular accident, KT = kidney transplantation, L-FABP = L-type fatty acid-binding protein, NGAL = neutrophil gelatinase-associated lipocalin, OR = odds ratio.

respectively). The uL-FABP levels at D0 were negatively correlated with eGFR at 1, 6, and 12 months after transplantation (r = -0.242, P = 0.012; r = -0.256, P = 0.008; and r = -0.343, P < 0.001, respectively).

In the univariate linear regression analyses, donor age, KDPI, recipient BMI, acute rejection, uNGAL at D0, uKIM-1 at D0, and uL-FABP at D0 were significantly associated with 1year eGFR. Multiple linear regression analyses adjusting for donor age, donor gender, KDPI, cold ischemic time, recipient age, recipient gender, recipient BMI, recipient diabetes history, number of HLA mismatches, induction therapy, use of calcineurin inhibitor, acute rejection, and donor urinary biomarkers at D0 showed that donor uL-FABP, donor age, and acute rejection were significant predictors of 1-year graft function (Table 6).

### DISCUSSION

Both uNGAL and uL-FABP of donors were reliable for RGF prediction and correlated with donor renal function. The best-fit model including donor uNGAL, uL-FABP, and serum creatinine at D0 provided better predictive values for RGF than



**FIGURE 2.** The prediction score of RGF. (A) The prediction score included donor uNGAL, uL-FABP, and serum creatinine. The score for each patient was the sum of the points designated to the 3 predictors. The gradations between 2 numbers in the rulers designated to uNGAL and uL-FABP equally divided the differences between the 2 numbers (e.g., the gradation next to  $10^2$  indicates  $2 \times 10^2$ ). (B) Goodness of fit of the prediction score for RGF. The dashed line indicates the predicted probability according to the score, and the black dots indicate the observed frequency of the RGF at the mean scores of each quintile. The observed frequency agreed well with the predicted probability according to the score. (Levenberg–Marquardt nonlinear regression coefficient  $R^2 = 0.967$ ). RGF = reduced graft function, uKIM-1 = urinary kidney injury molecule-1, uL-FABP = L-type fatty acid-binding protein, uNGAL = urinary neutrophil gelatinase-associated lipocalin.

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Log-Transformed uNGAL, μg/g Cr	Score	Log-Transformed uL-FABP, µg/g Cr	Score	Serum Cr, mg/dL	Score
1~	0	1~	0	$0.0\sim$	0
100	29	10	26	0.5	7
200	33	20	34	1	14
300	36	30	39	1.5	21
400	38	40	42	2	28
500	39	50	45	2.5	35
600	40	60	47	3	42
700	41	70	49	3.5	49
800	42	80	50	4	56
900	43	90	52	≥4.5	63
1000	44	100	53		
2000	48	200	61		
3000	51	300	66		
4000	52	400	69		
5000	54	500	71		
6000	55	600	74		
7000	56	700	75		
8000	57	800	77		
10,000	58	900	78		
20,000	63	1000	79		
30,000	65	2000	87		
40,000	67	3000	92		
50,000	68	4000	95		
70,000	70	5000	98		
80,000	71	$\geq 6000$	100		
90,000	72				
100,000	73				
200,000	77				
300,000	80				
400,000	82				
≥500,000	83				

TABLE 5. Score for the Prediction of Reduced Graft Function

Serum Cr, uNGAL, and uL-FABP were measured in the morning on the day of transplantation operation. The uNGAL and uL-FABP values were normalized to urinary creatinine before log-transformed. Serum Cr = serum creatinine, uL-FABP = urine L-type fatty acid binding protein/urine creatinine, uNGAL = urine neutrophil gelatinase associated lipocalin/urine creatinine.

donor serum creatinine alone. Based on the best-fit model, we generated a nomogram for predicting RGF. The diagnostic performance of the prediction score for RGF was significantly better than those for the DGF calculator and KDPI. Donor urinary NGAL levels at D0 were also associated with donor AKI. Furthermore, uL-FABP at D0 was predictive for 1-year allograft function.

Because of organ shortages, kidneys from deceased donors with high risk for graft dysfunction and graft failure have been increasingly used.<sup>1,2</sup> Patients with RGF, including DGF or SGF, showed poorer short- and long-term outcomes than those with IGF, with a higher incidence of acute rejection episode, diminished posttransplant graft function, and an increased risk of graft failure.<sup>19-23</sup> Therefore, it is important to predict graft dysfunction with an early and reliable biomarker when determining the acceptance or allocation of deceased donor kidneys. Several studies have identified early biomarkers for predicting graft dysfunction.<sup>9,12,14,16-18</sup> Urinary biomarkers directly reflect



FIGURE 3. The ROC curves comparing the prognostic capacity of the prediction score with other tools. (A) Score for prediction of RGF (black solid line), (B) DGF risk calculator (gray dashed line), and (C) KDPI (black dotted line). The 95% CI is shown. The area under the ROC curve of the prediction score for RGF is significantly larger than those of the DGF calculator (P = 0.046; DeLong tests) and the KDPI (P = 0.019; DeLong tests). CI = confidence interval, DGF = delayed graft function, KDPI = kidney donor profile index, RGF = reduced graft function, ROC = receiver-operating characteristic..

tubule damage in kidneys, and sampling is easy and noninvasive. The recipient urinary NGAL levels within the 1st week after transplantation are an early marker of DGF and are independently associated with 1-year graft function.9 The recipient urinary L-FABP level can serve as an early indicator of ischemia and a predictor of early allograft function.<sup>17</sup> However, while previous studies have demonstrated the prognostic value of recipient urinary biomarkers for DGF,<sup>9,17</sup> these cannot be used to assess deceased donor kidneys with AKI before DDKT. Therefore, there is still a need for good donor markers.

A recent study reported that the uNGAL levels in AKI group were higher than those in non-AKI group in stable hospitalized patients awaiting cardiac surgery.<sup>38</sup> Reese et al<sup>37</sup> also showed a significant association between donor urinary NGAL and donor AKI. Thus, our findings on the association between donor uNGAL levels and donor AKI are in agreement with these previous studies.<sup>37,38</sup> Based on the previous studies, donor urinary biomarker reflected the multiple potential pathways associated with acute kidney injuries including trauma, hypotension, and exposure to nephrotoxic agents.

Recently, Hollmen et al<sup>12</sup> investigated the prognostic value of the uNGAL levels in deceased donors for the 1st time. In patients with high donor uNGAL ( $\geq 18 \text{ ng/mL}$ ), prolonged DGF  $(\geq 14 \text{ days})$  occurred more often than in those with low uNGAL (<18 ng/mL), and 1-year graft survival was worse. uNGAL was an independent risk factor for prolonged DGF, but failed to predict DGF itself. Reese et al<sup>37</sup> also analyzed the associations between biomarkers in deceased donors and outcomes

	Univariate			Multivariate			
	β Coefficient	95% CI	P Value	β Coefficient	95% CI	P Value	
Donor age	-0.502	-0.858 to -0.427	< 0.001	-0.398	-0.710 to -0.308	< 0.001	
Donor sex	-0.189	-16.242 to 0.093	0.053				
Cold ischemic time	-0.035	-0.049 to $0.034$	0.721				
KDPI	-0.468	-0.452 to -0.209	< 0.001				
Donor serum creatinine immediately before KT	0.013	-4.496 to 5.120	0.898				
Log-transformed D0 NGAL	-0.204	-9.778 to -0.342	0.036				
Log-transformed D0 KIM-1	-0.191	-17.082 to $-0.026$	0.049				
Log-transformed D0 L-FABP	-0.371	-18.797 to -6.492	< 0.001	-0.192	-12.021 to -1.090	0.019	
Recipient age	-0.118	-0.442 to $0.107$	0.228				
Recipient sex	-0.019	-8.921 to 7.364	0.850				
Recipient BMI	-0.254	-2.532 to -0.377	0.009				
Recipient DM history	-0.156	-19.414 to 2.008	0.110				
HLA mismatch number	0.040	-2.113 to 3.220	0.681				
Induction therapy (ATG)	-0.019	-15.273 to 12.576	0.848				
CNI	0.078	-7.472 to 17.438	0.429				
Acute rejection	-0.439	-25.543 to -10.995	< 0.001	-0.309	-19.482 to $-6.248$	< 0.001	

TABLE 6. Univariate and Multivariate Linear Regression Analyses for the Prediction of 1-year Graft Function

Multivariate linear regression analysis was performed with a stepwise variable selection procedure. uL-FABP at D0, donor age and acute rejection correlated with 1-year graft function after the other variables were adjusted. D0, in the morning on the day of transplantation operation.

ATG = antithymocyte globulin, BMI = body mass index, CI = confidence interval, CNI = calcineurin inhibitor, DM = diabetes mellitus, HLA = human leukocyte antigen, KDPI = kidney donor profile index, KIM-1 = kidney injury molecule-1, KT = kidney transplantation, L-FABP = L-type fatty acid binding protein, NGAL = neutrophil gelatinase associated lipocalin.

including donor AKI, DGF, and 6-month eGFR. Notably, uNGAL, uKIM-1, and uL-FABP in donors were strongly associated with donor AKI, and uNGAL was associated with DGF. Furthermore, both uNGAL and uL-FABP were associated with 6-month eGFR only among recipients without DGF. In this study, DGF incidence was lower (15.6%) than those in the previous studies (31%-39.8%), most likely because both donors and recipients were younger, ECDs were less common, and cold ischemic time was shorter.<sup>12,37</sup> We used RGF as an outcome in this study due to this low DGF incidence. In contrast, the duration of dialysis before transplantation was longer, donor serum creatinine was higher, and uNGAL levels were higher in this study. Both the D0 biopsy findings and 1year graft survival rates were similar between this study and the study by Hollmen et al.<sup>12</sup> Despite differences in donor characteristics of each study population or country, our findings that donor uNGAL or uL-FABP can be associated with donor AKI, as well as predict RGF and posttransplant graft function were in agreement with those of previous studies.<sup>12,37</sup> As such, donor urinary biomarkers, including uNGAL and uL-FABP, may sufficiently predict posttransplant graft outcomes irrespective of DGF incidence.

KIM-1 levels in recipients have been reported to correlate with renal graft function.<sup>16,18</sup> However, the prognostic values of KIM-1 were modest, and the combination of KIM-1 with other biomarkers rather than KIM-1 alone showed a strong ability to predict AKI.<sup>39</sup> This study demonstrated that donor uKIM-1 failed to predict RGF or correlate with 1-year allograft function. KIM-1 is upregulated at 48 hours after ischemia-reperfusion injury and peaks at 2–3 days after injury, persisting thereafter.<sup>40</sup> Both uNGAL and uL-FAPB are elevated within 3 hours of injury, and peak within 6 hours.<sup>41</sup> Although the increased levels of NGAL and L-FABP represent a response to kidney injury, increased KIM-1 might indicate ongoing recovery and regeneration after injury. These differences may have contributed to the poor predictability of uKIM-1 for RGF in contrast to uNGAL and uL-FABP.

Increased NGAL expression in renal allograft tissues was associated with poor graft function after transplantation,<sup>14</sup> whereas KIM-1 did not predict DGF.<sup>29</sup> In this study, tissue biomarkers expression in D0 biopsies did not discriminate between patients with RGF and IGF. Superficial wedge biopsy sampling often results in markedly variable samples, and hamper the evaluation of injuries in the outer medulla, the main area of acute injury. Thus, relatively mild tissue injury and the lower sample sizes of this study might contribute to the negative results for tissue biomarkers.

We further proposed a simple scoring system to predict RGF based on only 3 donor parameters available before transplantation, which were selected based on their contribution to the AUROC and *P* value. The diagnostic performance of the RGF prediction score was better than that for the DGF calculator and KDPI. Additionally, we proposed additional cutoffs for medical decision-making based on these predictive values. Among kidneys with a prediction score for RGF < 144, 95% will likely have IGF after KT. This information could be useful for clinicians when deciding whether to accept or discard a donor kidney and when deciding to whom the kidney should be allocated.

In this study, donor urine biomarkers were measured at admission and in the morning on the day of operation. Because donors may experience several kidney injuries, including trauma, hypotension, and exposure to nephrotoxic agents, before and during hospitalization, biomarker levels on the day of operation may be better to assess the quality of the donor kidney than those obtained at admission. However, biomarker levels on the day of operation might be impractical for organ allocation decision. Unfortunately, we did not evaluate the exact longitudinal changes of donor biomarkers between the time of admission and organ procurement; thus, further longitudinal studies are needed to decide the best time-point for the measurement of donor urinary biomarkers.

To our knowledge, this study is the 1st to investigate the association of donor urinary and tissue biomarkers with RGF and 1-year graft function in DDKT, and introduce a practical scoring method including donor urinary biomarkers to predict RGF. This study is also unique in that it was based on an Asian population with short cold ischemic times and a low overall incidence of DGF, unlike Western populations investigated in previous studies. Further, larger-scale, long-term studies including external validation are needed to confirm our results.

In conclusion, both urinary NGAL and L-FABP of donors are useful biomarkers for RGF after DDKT, and a new score based on donor biomarkers can predict RGF well. The prediction score for RGF can help guide allocation of deceased donors, and acceptance of kidneys from deceased donors by clinicians and transplant candidates, and can contribute to maximal organ utilization.

### ACKNOWLEDGEMENTS

The authors thank Myung-gyu Kim and Han Ro for their critical review of the manuscript; and also thank the Biobank of Chonbuk National University Hospital, a member of the Korea Biobank Network, which is supported by the Ministry of Health, Welfare and Family Affairs, for providing samples.

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