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# S-1 Based Doublet as an Adjuvant Chemotherapy for Curatively Resected Stage III Gastric Cancer: Results from the Randomized Phase III POST Trial

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## Purpose

We conducted a randomized, multicenter, phase III trial to compare S-1 plus docetaxel (DS) with S-1 plus cisplatin (SP) as adjuvant chemotherapy for stage III gastric cancer patients.

## Materials and Methods

Stage III gastric cancer patients who had received curative gastrectomy with D2 lymphadenectomy were randomized into equal groups to receive adjuvant chemotherapy of eight cycles of DS (S-1 70 mg/m<sup>2</sup>/day on days 1-14 plus docetaxel 35 mg/m<sup>2</sup> on days 1 and 8) every 3 weeks or SP (S-1 70 mg/m<sup>2</sup>/day on days 1-14 plus cisplatin 60 mg/m<sup>2</sup> on day 1) every 3 weeks. The primary endpoint was 3-year disease-free survival (DFS) rate.

## Results

Between November 2010 and July 2013, 153 patients (75 patients to DS and 78 patients to SP) were enrolled from 8 institutions in Korea. After the capecitabine plus oxaliplatin was approved based on the CLASSIC study, it was decided to close the study early. With a median follow-up duration of 56.9 months, the 3-year DFS rate between two groups was not significantly different (49.14% in DS group vs. 52.5% in SP group). The most common grade 3-4 adverse event was neutropenia (42.7% in DS and 38.5% in SP, p=0.351). SP group had more grade 3-4 anemia (1.3% vs. 11.5%, p=0.037), whereas grade 3-4 hand-foot syndrome (4.1% vs. 0%, p=0.025) and mucositis (10.7% vs. 2.6%, p=0.001) were more common in DS group. Fifty-one patients (68%) in DS group and 52 (66.7%) in SP group finished planned treatment.

## Conclusion

Our findings suggest that SP or DS is an effective and tolerable option for patients with curatively resected stage III gastric cancer.

## Key words

Stomach neoplasms, Stage III, S-1 based doublet, Docetaxel, Cisplatin, Adjuvant chemotherapy

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## Introduction

Gastric cancer is the second leading cause of the cancer death worldwide, and is particularly prevalent in Eastern Asia, Eastern Europe, and South America [1]. The overall 5-year relative survival rate was 37.8% in the Surveillance, Epidemiology, and End Results data [2], and 55.7% in the Korea National Cancer Incidence Database [3] for locally advanced gastric cancer. Complete surgical resection remains the only chance of a cure in gastric cancer patients, and gastrectomy with D2 lymphadenectomy is a standard surgical treatment for locally advanced gastric cancer. Since approximately 40% to 80% of gastric cancer patients still suffer recurrence and ultimately die from the disease [4], there has always been an urgent need for effective adjuvant chemotherapy or radiotherapy. The recent adjuvant trials in gastric cancer have shown improved survival in patients treated with adjuvant chemotherapy compared to those who underwent surgery alone [5,6] especially in Asia. Based on individual patient data from 17 randomized clinical trials, meta-analysis from the GASTRIC (Global Advanced/Adjuvant Stomach Tumor Research International Collaboration) group showed that postoperative adjuvant chemotherapy based on a fluorouracil regimen was associated with longer survival in gastric cancer compared with surgery alone [7]. In 2007, a large-scale study by the Adjuvant Chemotherapy Trial of S-1 for Gastric Cancer (ACTS-GC) group reported the first positive phase III result addressing superiority of adjuvant chemotherapy with an oral fluoropyrimidine, S-1 monotherapy versus surgery [5]. However, subgroup analysis revealed that S-1 only provided a survival benefit among patients with an early disease stage (II or IIIA) who had undergone D2 gastrectomy [8]. Therefore, a more efficient chemotherapy regimen, for example one based on a drug doublet, is needed to improve the outcome of patients with locally advanced—especially stage III—gastric cancer after curative resection. With only limited adjuvant S-1 monotherapy benefit in stage III gastric cancer patients even after 5-year follow up period of the ACTS-GC trial [8], and no general consensus about the best adjuvant treatment option, we designed a phase III trial to establish the effectiveness of an S-1 containing doublet regimen for advanced American Joint Committee on Cancer (AJCC) seventh stage III patients after curative gastrectomy. Capecitabine plus oxaliplatin seems to be effective for advanced stage II and III gastric cancer patients, but the high incidence of hand-foot syndrome and peripheral neuropathy are toxic for many patients [6]. And the CLASSIC trial result was not available when we planned this phase III trial. Because S-1 is tolerable and widely accepted as an effective therapeutic agent for resected gastric cancer in an adjuvant setting, adding other cytotoxic agent seemed reasonable.

In order to identify an efficient doublet antineoplastic regimen that could improve treatment outcome, among late stage advanced gastric cancer patients after D2 gastrectomy, the Post Operation chemotherapy with S-1 and Taxotere in curatively resected gastric cancer of stage III (POST) study was designed. This study compared the effect of two adjuvant S-1 based doublet regimens, S-1 plus docetaxel (DS) with S-1 plus cisplatin (SP), on the disease-free survival (DFS) of D2 resected stage III gastric cancer patients. There have been several previous randomized control trials of DS or SP as palliative treatments in metastatic gastric cancer [9-11], but there has been no phase III study to directly compare these two doublet regimens, or to assess their use in adjuvant therapy for D2 resected stage III advanced gastric cancer.

## Materials and Methods

### 1. Study design and treatment

The POST trial was an open-label, phase III, randomized controlled study, performed in eight centers in South Korea. The primary aim of the study was to compare the DFS following S-1 doublets between DS and SP. Gastrectomy with D2 lymphadenectomy has been performed as a standard procedure by all of the surgeons who participated in this trial and the pathology reports were standardized based on D2 dissection in each institute. The patients were randomly assigned to 6 months of treatment with eight cycles of either DS (intravenous docetaxel [35 mg/m<sup>2</sup> on days 1 and 8 of each cycle] plus oral S-1 [35 mg/m<sup>2</sup> twice daily on days 1 to 14 of each cycle] every 3 weeks, or SP (intravenous cisplatin [60 mg/m<sup>2</sup> on day 1] plus S-1 [same dosage utilized in DS]) every 3 weeks. Oral S-1 was ministered after meal within one hour. Pre-hydration before intravenous cisplatin following the institutional protocol was mandatory to prevent nephrotoxicity. The randomization was stratified by institution and disease stage (IIIA vs. IIIB vs. IIIC). Each stratum was randomized using the randomly permuted block method.

### 2. Patients

Inclusion criteria for patients were that they should have histopathologically confirmed AJCC seventh stage III gastric adenocarcinoma; undergone R0 resection (with no residual microscopic tumor cells including margin) with D2 lymph node dissection; age of 20 years or older; adequate renal function (creatinine clearance > 50 mL/min), hepatic function (total bilirubin ≤ 1.5 times the upper limit of normal [ULN], aspartate or alanine aminotransferase ≤ 2.5 times the ULN,

alkaline phosphatase  $\leq 2.5$  times the ULN), and hematological function (absolute neutrophil count  $\geq 1.5 \times 10^9/L$  or platelet count  $\geq 100 \times 10^9/L$ ); and had an Eastern Cooperative Oncology Group (ECOG) performance status of 0 or 1. Exclusion criteria were as follows: a tumor other than adenocarcinoma; pregnancy or breast feeding; evidence of metastasis including peritoneal or distant metastasis; any previous treatment of cytotoxic chemotherapy, radiotherapy, or immunotherapy for gastric cancer; previous major surgery within 4 weeks before the start of the trial, or a failure to recover from the surgery; previous history of other malignancies within 5 years except for cured skin basal cell carcinoma or cured *in situ* cervix cancer; gastrointestinal obstruction or malabsorption syndrome that can negatively affect S-1 absorptions; R1 or R2 resection; other severe medical conditions; known dihydropyrimidine dehydrogenase deficiency; sensitivity to platinum agents or docetaxel; and inadequate organ function. All the patients had been randomized into either treatment group within 8 weeks after surgery.

### 3. Study end points and assessments

Three-year DFS rate was chosen as the primary end point because a recent meta-analysis showed that DFS is an acceptable surrogate end point for overall survival (OS) in trials of cytotoxic agents for gastric cancer in the adjuvant setting [12]. DFS was defined as the time from randomization to the time of recurrence of gastric cancer or death from any cause, and was to be analyzed by intent-to-treat. Secondary end points included OS, defined as the time from randomization to the time of death from any cause, and safety profiles (adverse events). Tumors were assessed radiographically using computed tomography at screening (baseline), every 3 months after randomization during years 1 and 2, every 6 months during year 3, and then yearly.

Toxicity was closely monitored during each treatment cycle, and adverse events were graded according to the National Cancer Institute's Common Terminology Criteria for Adverse Events ver. 4.0. The dose of each chemotherapeutic agent could be reduced if any of the following toxicities occurred in the preceding cycle: grade 4 leukopenia, neutropenia or thrombocytopenia, febrile neutropenia grade 3 or higher, non-hematologic toxicity grade 3 or higher except for hand foot syndrome, cardiac toxicity, and elevated bilirubin of grade 2 or higher. The study drugs were discontinued if recovery did not occur within 3 weeks from the planned day 1.

### 4. Statistical methods

The intent-to-treat (ITT) patient population, the population for all primary analyses, included all randomly assigned patients who had received at least one cycle of their allocated treatment. Three-year DFS rate, the primary end point, was predicted to be 40.0% and 55.0% in SP group and DS group, respectively (hazard ratio [HR], 0.65), based on two docetaxel and cisplatin combined studies [9,13]. Kaplan-Meier estimates and Cox regression analyses of DFS and OS were calculated. The two groups were compared using the stratified log-rank test. With a predicted median follow-up duration of 3 years, 173 events were necessary to ensure 80% power for a two-sided test at a significance level of 0.05. A sample size of 145 patients in each group was planned, allowing for a 10% dropout rate. The safety analysis included all the ITT patients. SPSS ver. 20 (IBM Corp., Armonk, NY) and the statistical software package R ver. 13.0 were used for statistical analyses. A p-value of less than 0.05 was considered statistically significant.

### 5. Accrual and time of analysis

In January 2012, the phase III capecitabine and oxaliplatin adjuvant study in stomach cancer (CLASSIC) study showed a survival benefit of adjuvant capecitabine and oxaliplatin chemotherapy compared to surgery alone, among stage II-III gastric cancer patients who had undergone curative D2 gastrectomy [6]. Based on this trial, in March 2013, the Korean Food and Drug Administration approved the use of capecitabine and oxaliplatin for adjuvant chemotherapy after curative gastrectomy. Since patients could be reimbursed by National Insurance of Korea for the use of capecitabine and oxaliplatin as an adjuvant therapy, the accrual rate of the POST trial was reduced. The trial committee decided to close the study early with the last patient enrolled in July 2013, and the data cut-off date was April 30, 2017.

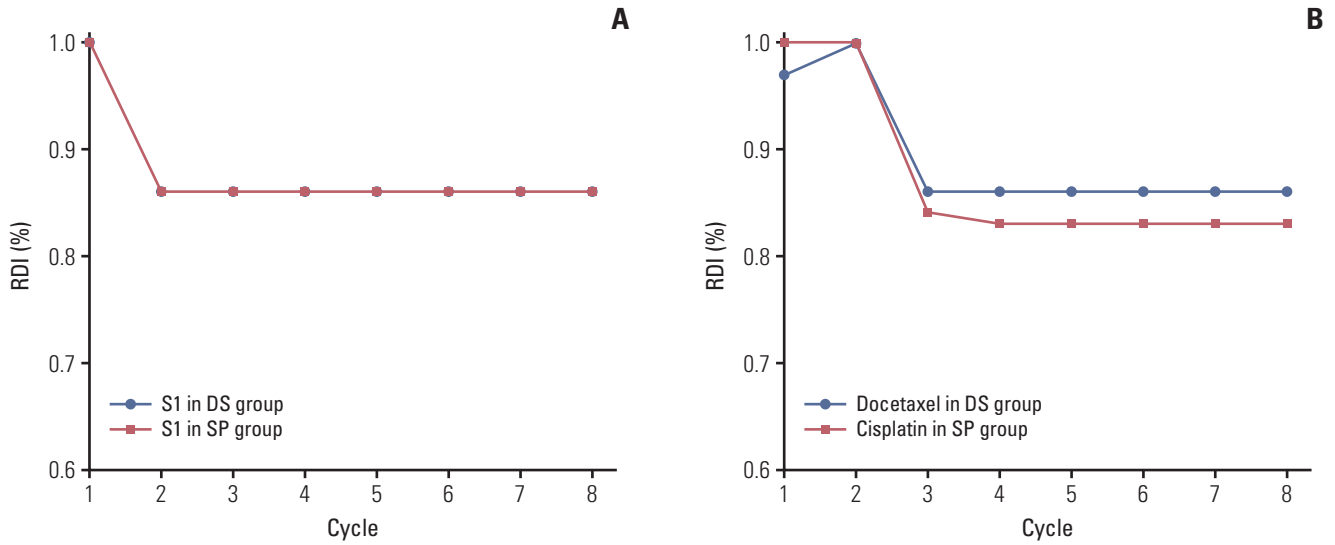
### 6. Ethical statement

The protocol was approved by the institutional review and ethics board of each participating center. The study was conducted in accordance with the Declaration of Helsinki and the Good Clinical Practice Guidelines defined by the International Conference on Harmonization. All patients provided written, informed consent before enrollment. The trial is registered at ClinicalTrials.gov (NCT01283217).

**Table 1.** Baseline patients' characteristics

Characteristic	DS (n=75)	SP (n=78)
<b>Sex</b>		
Male	46 (61.3)	56 (71.8)
Female	29 (38.7)	22 (28.2)
<b>Age, median (range, yr)</b>	54 (33-74)	58 (25-72)
<b>ECOG performance</b>		
0	45 (60.0)	53 (67.9)
1	30 (40.0)	25 (32.1)
<b>Cell type (WHO classification)</b>		
Tubular adenocarcinoma well differentiated	0	2 (0.3)
Tubular adenocarcinoma moderately differentiated	20 (26.7)	26 (33.3)
Tubular adenocarcinoma poorly differentiated	34 (45.2)	35 (44.9)
Signet ring cell	17 (22.7)	13 (16.7)
Hepatoid adenocarcinoma	1 (2.4)	0
Mucinous adenocarcinoma	2 (2.7)	1 (2.4)
Undifferentiated adenocarcinoma	1 (2.4)	1 (2.4)
<b>Lauren classification</b>		
Intestinal type	11 (14.7)	16 (20.5)
Diffuse type	29 (38.7)	18 (23.1)
Mixed	2 (2.7)	8 (10.3)
Unknown	33 (44.0)	36 (45.2)
<b>No. of retrieved lymph nodes, median (interquartile range)</b>	43 (34-55)	40 (29-53)
<b>Tumor stage</b>		
T2	2 (2.7)	3 (3.8)
T3	15 (20.0)	24 (30.8)
T4	58 (77.3)	51 (65.4)
<b>Nodal stage</b>		
0	1 (1.3)	0
1	5 (6.7)	1 (1.3)
2	23 (30.7)	24 (30.8)
3	46 (61.3)	53 (56.7)
<b>AJCC 7th stage</b>		
IIIA	9 (12.0)	10 (12.8)
IIIB	33 (44.0)	36 (46.2)
IIIC	33 (44.0)	32 (41.0)
<b>AJCC 6th stage</b>		
II	4 (5.3)	6 (7.7)
IIIA	26 (34.7)	31 (39.7)
IIIB	18 (24.0)	20 (25.6)
IV	25 (33.3)	20 (25.6)
<b>Duration from surgery to initiate chemotherapy, median (range, wk)</b>	6.13 (2.7-8.7)	5.66 (2.5-7.8)

Values are presented as number (%) unless otherwise indicated. DS, docetaxel plus S-1; SP, S-1 plus cisplatin; ECOG, Eastern Cooperative Oncology Group; WHO, World Health Organization; AJCC, American Joint Committee on Cancer.



**Fig. 1.** Median relative dose intensities per regimen and cycle. Median relative dose intensities (RDIs) of S-1 in both groups (A) and RDIs of docetaxel in docetaxel plus S-1 (DS) group or cisplatin in S-1 plus cisplatin (SP) group (B).

**Table 2.** Adverse events (n=153)

Treatment-related adverse event	DS (n=75)		SP (n=78)		p-value
	All	G3/4	All	G3/4	
<b>Any</b>	74 (98.7)	46 (61.3)	77 (98.7)	44 (56.4)	0.536
<b>Hematologic toxicity</b>					
Febrile neutropenia	3 (4.0)	2 (2.7)	3 (3.8)	3 (3.8)	0.548
Neutropenia	51 (68.0)	32 (42.7)	28 (35.9)	30 (38.5)	0.351
Anemia	41 (54.0)	1 (1.3)	45 (57.6)	9 (11.5)	0.037
Thrombocytopenia	2 (2.6)	1 (1.3)	19 (24.3)	1 (1.3)	< 0.001
<b>Non-hematologic toxicity</b>					
Abdominal pain	24 (32.0)	3 (4.1)	15 (19.2)	2 (2.6)	0.193
Anorexia	48 (64.0)	5 (6.7)	47 (60.2)	5 (6.4)	0.892
Nausea	49 (65.3)	2 (2.7)	51 (65.4)	2 (2.6)	0.999
Vomiting	16 (21.3)	1 (1.3)	17 (21.8)	1 (1.3)	0.997
Hand foot syndrome	18 (24.0)	3 (4.1)	7 (9.0)	0	0.025
Mucositis	39 (52.0)	8 (10.7)	18 (23.1)	2 (2.6)	0.001
Diarrhea	40 (53.3)	2 (2.7)	35 (44.9)	3 (3.8)	0.478
Paronychia	8 (10.7)	0	0	0	0.003
Alopecia	34 (45.3)	0	4 (5.1)	0	< 0.001
Fatigue	12 (16.0)	1 (1.3)	14 (18.0)	1 (1.3)	0.944
General weakness	16 (21.3)	1 (1.3)	23 (29.5)	2 (2.6)	0.493
Neuropathy	17 (22.7)	0	15 (19.2)	1 (1.3)	0.487

Values are presented as number (%). One treatment related death in DS group: thromboembolism event. DS, docetaxel plus S-1; SP, S-1 plus cisplatin.

## Results

### 1. Patient characteristics

Between November 2010 and July 2013, a total of 153 patients from eight centers in South Korea were randomly assigned to DS (n=75) or SP (n=78) treatment groups (S1 Fig.). Patient demographics and baseline disease characteristics were well balanced between the two groups. Poorly differentiated and diffuse types of adenocarcinoma were the most common histological type. Most of the patients had AJCC seventh edition stage IIIB (n=69, 45.1%) or stage IIIC disease (n=65, 42.5%) (Table 1).

### 2. Treatment compliance and toxicity

The median number of treatment cycles in each group was

8 (range, 1 to 8 cycles). The median relative dose intensity (RDI) was 0.86 for both docetaxel and S-1 in DS group and 0.83 for cisplatin and 0.86 for S-1 in SP group. The RDI of S-1 in both groups was reduced from the second cycle, and the RDI of docetaxel in DS group and cisplatin in SP group was reduced from the third cycle (Fig. 1). The number of the patients who could not complete the full eight cycles of chemotherapy was 24 (32.0%) in DS group and 26 (33.3%) in SP group (p=0.683).

Most patients (98.7%) reported at least one adverse event. The total incidence of grade 3 or 4 adverse events was 46 (61.3%) in DS group and 44 (56.4%) in SP group (p=0.536). The most common grade 3 or 4 adverse event was neutropenia (42.7% in DS group and 38.5% in SP group, p=0.351) (Table 2). SP treatment was associated with more frequent hematologic adverse events such as grade 3 or 4 anemia (1.3% vs.11.5%, p=0.037) or any grade of thrombocytopenia (2.6% vs. 24.3%, p < 0.001). However, grade 3 or 4 non-hema-

**Table 3.** The reasons for chemotherapy cycle delays and reductions

	Delayed cycles		Dose reduced cycles	
	DS (n=495)	SP (n=512)	DS (n=495)	SP (n=512)
<b>Hematologic</b>	84	152	35	33
Neutropenia	83	149	35	31
Febrile neutropenia	1	1	0	1
Anemia	0	1	0	0
Thrombocytopenia	0	1	0	1
<b>Non-hematologic</b>	46	20	36	23
General weakness	8	2	4	4
Nausea/Vomiting	5	1	5	4
Patients' refusal	4	6	2	0
Mucositis	4	2	9	2
Urticaria/Skin rash	4	0	1	0
Anorexia	3	4	3	3
Hand-foot syndrome	3	0	4	0
Weight loss	3	0	1	3
Fatigue	0	3	3	1
Diarrhea	0	2	1	3
Hemorrhoid	2	0	0	0
Nail Infection	2	0	1	0
Abdominal pain	1	0	0	2
Generalized edema	2	0	0	0
Common cold	2	0	0	0
Herpes zoster	1	0	1	0
Intestinal obstruction	1	0	0	0
Bilirubin elevation	1	0	1	0
Dizziness	0	0	0	1
<b>Total, n (%)</b>	130 (26.3)	172 (33.6)	71 (14.3)	56 (10.9)

DS, docetaxel plus S-1; SP, S-1 plus cisplatin.

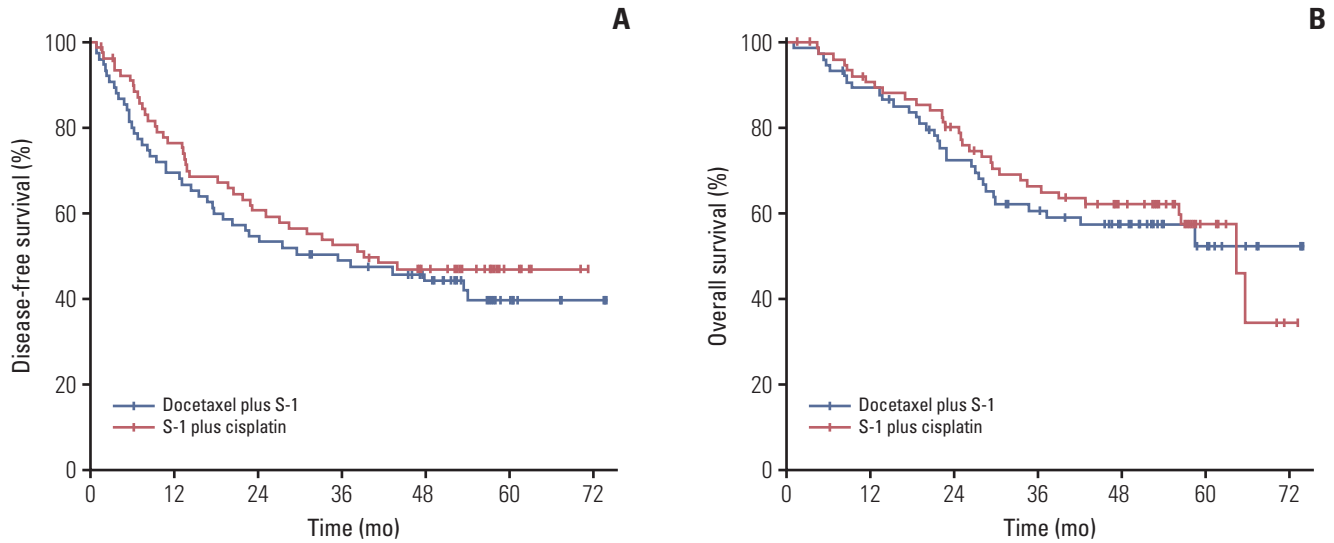


Fig. 2. Kaplan-Meier curves of disease-free survival (A) and overall survival (B) in the intention-to-treat population.

tologic adverse events including hand-foot syndrome (4.1% vs. 0%,  $p=0.025$ ) and mucositis (10.7% vs. 2.6%,  $p=0.001$ ) were more commonly observed in DS group. Grade 1 or 2 paronychia (10.7% vs. 0%,  $p=0.003$ ) and alopecia (45.3% vs. 5.1%,  $p < 0.001$ ) were also more common in DS group. There was one treatment-related death in DS group, from a thromboembolic event. The day after starting the seventh cycle of DS treatment, this 67-year-old female patient's dyspnea on exertion worsened, and the patient died due to left pulmonary artery thromboembolism. The patient did not have any other pre-disposing factor for pulmonary thromboembolism.

Among total 495 treatment cycles for DS group and 512 cycles for SP group, 130 cycles (26.3%) in DS group and 172 cycles (33.6%) in SP group ( $p=0.011$ ) were delayed. The chemotherapy dose was reduced in 71 cycles (14.3%) in DS group and 56 cycles (10.9%) in SP group ( $p=0.104$ ). Overall, the most common reason for delay in the initiation of treatment in each cycle and for the dose reduction was neutropenia (76.8% for of delayed cycles and 52.0% of dose reduced cycles) (Table 3). With respect to adverse events (Table 2), treatment delays were more common in SP group which was associated with more frequent hematologic toxicities, whether dose reductions were more common in DS group which experienced more non-hematologic toxicities.

The treatment discontinuations were permanently developed from 24 patients in DS group and from 26 patients in SP groups. The main reason for discontinued treatment was toxicity (12 [50.0%] in DS group and 13 [50.0%] in SP group) (S1 Fig.). The reasons for discontinuation due to toxicity in

DS group were allergic reaction ( $n=3$ ), neutropenia, afferent loop syndrome, skin rash, thromboembolic event, ileus, hand-foot syndrome, general weakness, dyspnea, and nausea/vomiting ( $n=1$  for each). In SP group, toxicities that resulted in treatment discontinuation were neutropenia ( $n=3$ ), general weakness ( $n=2$ ), thrombocytopenia, myocardial infarction, neuropathy, skin rash, anorexia, acute cerebral infarction and pneumonitis ( $n=1$  for each). Three patients in DS group and four patients in SP group were off the study because of gastric cancer recurrence during the course of the trial treatment.

### 3. Survival analysis

The median duration of follow-up was 53.6 months (range, 1.1 to 74.0 months) for DS group and 57.3 months (range, 1.7 to 73.1 months) for SP group. At the cut-off date for data collection on April 30, 2017, 43 patients (57.3%) in DS group and 40 patients (53.3%) in SP group developed DFS events. The most common site of recurrence was the peritoneum (20 and 11 cases in DS and SP groups, respectively) (Table comparing pattern of recurrences in S2 Table). Primary endpoint, the 3-year DFS rate, was 49.1% in DS group (median DFS, 35.5 months) and 52.5% in SP group (median DFS, 39.3 months). The 3-year survival rate was 60.7% among DS group and 66.4% among SP group, with 31 (41.3%) and 32 (41.0%) deaths in DS group (median OS, not reached) and SP group (median OS, 64.4 months), during the follow-up period. Every death was cancer-related. There was no statistically significant difference in DFS (HR, 1.201; 95% confidence

**Table 4.** Comparison of phase III gastric cancer adjuvant chemotherapy studies

Reference	Study design	No.	Stage	Regimen	Completion of total cycles (%)	RDI	Median follow up duration (mo)	3-Year DFS rate (%)	G3/4 hematologic toxicity, n (%)	G3/4 nonhematologic toxicities, n (%)
ACTS-GC [5]	S-1 vs. observation	529	AJCC 6th II-IIIB	S-1 80 mg/m <sup>2</sup> /D D1-28, q6wks, for 1 yr	65.8	N/A	34.8	72.2	Leukopenia 6 (1.2), anemia 6 (1.2)	Anorexia 31 (6.0), nausea 19 (3.7), diarrhea 16 (3.1)
CLASSIC [6]	XELOX vs. observation	520	AJCC 6th II-IIIB	Capecitabine 1,000 mg/m <sup>2</sup> BID D1-14, oxaliplatin 130 mg/m <sup>2</sup> D1, q3wks, 8 cycles	67.0	85% (capecitabine) 98% (oxaliplatin)	34.2	74	Neutropenia 107 (22), thrombocytopenia 40 (8)	Nausea 39 (8.0), vomiting 37 (7.0), decreased appetite, fatigue 23 (5.0)
SAMIT (S-1 only) [14]	UFT± paclitaxel vs. S-1± paclitaxel	364	AJCC 6th I-IV (M0)	S-1 80 mg/m <sup>2</sup> BID D1-14, q3wks, 16 cycles	61.5	N/A	62.8	N/A (54.0 for monotherapy group)	Neutropenia 48 (13), anemia 11 (3)	Anorexia 24 (7), fatigue 12 (3), abnormal total bilirubin 10 (3)
SAMIT (paclitaxel then S-1) [14]	UFT± paclitaxel vs. S-1± paclitaxel	355	AJCC 6th I-IV (M0)	Paclitaxel 80 mg/m <sup>2</sup> D1, 8, 1 wk rest then D1, 15 q4wks, 2 cycles (total 3 cycles) → S-1 80 mg/m <sup>2</sup> BID D1-14, q3wks, 12 cycles	70.4	N/A	61.3	N/A (57.2 for sequential group)	Neutropenia 83 (23), leukopenia 16 (4)	Anorexia 18 (5), fatigue 16 (4), diarrhea 11 (3)
Current study (DS)	DS vs. SP	75	AJCC 6th IIIB-IV (AJCC 7th III)	S-1 70 mg/m <sup>2</sup> /D D1-14, docetaxel 35 mg/m <sup>2</sup> , D1, 8, q3wks, 8 cycles	68.0	86% (S-1 and docetaxel)	53.6	49.1	Neutropenia 32 (42.7)	Mucositis 8 (10.8), anorexia 5 (6.8), abd pain 3 (4.1), HFS 3 (4.1)
Current study (SP)	DS vs. SP	78	AJCC 6th IIIB-IV (AJCC 7th III)	S-1 70 mg/m <sup>2</sup> /D D1-14, docetaxel 60 mg/m <sup>2</sup> , D1, 8, q3wks, 8 cycles	66.7	86% (S-1) 83% (cisplatin)	57.3	52.5	Neutropenia 30 (38.5), anemia 9 (11.7)	Anorexia 5 (6.5), nausea 3 (3.9)

RDI, relative dose intensity; DFS, disease-free survival; ACTS-GC, Adjuvant Chemotherapy Trial of S-1 for Gastric Cancer; AJCC, American Joint Committee on Cancer; q6wks, every 6 weeks; N/A, not available; XELOX, Xeloda (capecitabine) plus oxaliplatin; BID, twice a day; q3wks, every 3 weeks; UFT, uracil-tegafur; q4wks, every 4 weeks; DS, docetaxel plus S-1; SP, S-1 plus cisplatin; HFS, hand-foot syndrome.



interval [CI], 0.781 to 1.848;  $p=0.404$ ) or OS (HR, 1.081; 95% CI, 0.659 to 1.772;  $p=0.757$ ) between DS and SP groups (Fig. 2). The DFS and OS of eligible patients were also analyzed according to sex, age, ECOG performance status, cancer stage based on AJCC seventh, tumor stage, and nodal stage. Stage IIIC subgroup among SP group showed significant benefit of DFS (HR, 2.018; 95% CI, 1.108 to 3.675;  $p=0.022$ ), and OS (HR, 2.149; 95% CI, 1.018 to 4.533;  $p=0.048$ ), but there was no significant interaction between the treatment group and any other subgroups in terms of survival (Forest plots in S3 Fig.).

## Discussion

This POST trial is the first randomized phase III trial comparing efficacy and tolerability of two S-1 based doublet regimens, DS and SP, in curatively resected stage III gastric cancer patients based on the AJCC seventh edition. Unfortunately, because of the early termination, we could not show any statistically significant advantage in terms of OS or DFS for either regimen. However, both treatments seem to be an effective and tolerable option for these patients.

S-1 was regarded to have advantages over capecitabine among Asians in terms of reducing incidence of toxicities such as stomatitis and hand-foot syndrome [15]. Several chemotherapeutic regimens combining S-1 with other anti-cancer agents have been shown to improve the response rate or median survival time in gastric cancer, mostly in phase II studies [16-20]. From among many possible combinations of anti-cancer drugs, we compared S-1 plus taxane (DS) with S-1 plus platinum (SP) in this phase III study. Taxanes like docetaxel has been shown to prolong survival when used as a palliative treatment for advanced gastric cancer [21]. The phase III START trial showed that the combination of S-1 and docetaxel is more effective than S-1 monotherapy for metastatic gastric cancer patients, with longer survival (12.5 months vs. 10.8 months,  $p=0.032$ ) and a significant (16%) reduction in the risk of death [22]. In addition, a phase II study comparing docetaxel plus S-1 to docetaxel plus cisplatin suggested that DS was more effective (OS, 16 vs. 8.3 months) among chemotherapy naïve stage IV advanced gastric cancer patients [9]. Recently, another large phase III adjuvant trial (SAMIT) result was published [14]. The study tried to assess the superiority of adding paclitaxel to oral fluoropyrimidines as sequential treatment to locally advanced gastric cancer patients after D2 dissection, but the trial failed to show survival improvement. Cisplatin is generally accepted as a benchmark treatment when combined with 5-fluorouracil for advanced gastric cancer, although it has several

drawbacks, including high incidences of nausea, vomiting [23] and renal toxicity [24]. Cisplatin has also been shown to have an additive effect when combined with S-1 in advanced gastric cancer. In the phase III SPIRIT trial, SP resulted in significantly longer survival compared to S-1 alone (OS, 13 months vs. 11 months;  $p=0.04$ ) and a better response rate (54% vs. 31%) [25]. Based on these previous studies, the aim of this study was compare two S-1 based doublets to determine whether there was a significant increase in the 3-year DFS rate when DS or SP were used to treat patients with stage III gastric cancer.

The addition of docetaxel or cisplatin to S-1 was well-tolerated. We compared compliances and toxicities of our study (POST) with three published phase III studies of adjuvant chemotherapy for gastric cancer; ACTS-GC, CLASSIC, and SAMIT (Table 4) [5,6,14]. Sixty-eight percent of patients in DS group and 66.7% of patients in SP group completed 8 cycles as planned, which was comparable to that achieved in the ACTS-GC (65.8% for S-1 monotherapy), SAMIT (61.5% for S-1 monotherapy or 70.4% for paclitaxel then S-1 sequential therapy), and CLASSIC (67% for capecitabine plus oxaliplatin) trials. The median RDI was 86% for S-1 in both groups, 86% for docetaxel in DS group, and 83% for cisplatin in SP group. These findings were also comparable to those of CLASSIC trial (capecitabine 85% and oxaliplatin 98%). Comparing toxicities among patients treated using DS or SP with those who were administered S-1 monotherapy (ACTS-GC and SAMIT) revealed that adding docetaxel or cisplatin increased hematologic toxicity (neutropenia and anemia) but did not increase non-hematologic toxicities. In the capecitabine plus oxaliplatin group of the CLASSIC trial, 56% of patients experienced grade 3 or 4 adverse events, while in our study 61.3% and 56.4% of patients in DS and SP groups, respectively. A comparison of the treatment groups in this study revealed that patients treated with DS more frequently had non-hematologic toxicities, while those treated with SP more frequently suffered from hematologic toxicities (Table 2). These higher incidences of non-hematologic adverse events in DS group was related to more frequent dose reduction, and higher incidences of hematologic adverse events observed in SP group was related to more frequent treatment cycle delays (Table 3). S4 Table shows a comparison of compliance rates and toxicities in previously reported adjuvant DS or SP chemotherapy trials, excluding those in which S-1 was given as a monotherapy during the first cycle [26-30].

The relative small number of accrued patients reduced the statistical power of our study. It is hard to compare survival outcomes of our study to that of previous studies, because this study only included stage III gastric cancer patients diagnosed on the basis of the AJCC seventh edition, and the previous adjuvant phase III studies included patients with stage II or III gastric cancer based on the AJCC sixth edition

[6,8]. The more recent staging system classifies more than 15 positive nodes (N3b) as stage IIB or III, whereas it would have been classified as stage IV by the AJCC sixth edition staging system. Furthermore, invasion of the adjacent structure (T4b in the AJCC seventh edition and T4 in the AJCC sixth edition) with any positive node was classed as stage IV disease in the AJCC sixth edition but stage IIIB or IIIC disease in the AJCC seventh edition (S5 Fig.). Comparing the distribution of disease stages according to the AJCC seventh and sixth editions showed that 45 patients (3 patients with stage IIIA, 15 patients with stage IIIB, and 27 patients with stage IIIC; 29.4% in total) in this study would have been stage IV according to the AJCC sixth edition, and would thus not be eligible for the previous ACTS-GC or CLASSIC trials (S6 Fig.). So it is difficult to compare precisely, but our study has enrolled higher stage patients, and survival is similar when compared to Stage IIIB patients of CLASSIC or ACTS-GC trials.

In conclusion, postoperative DS and SP regimens were well tolerated, and the safety data presented here are comparable with that of previous studies. Since the trial was closed early, it didn't have sufficient statistical power to show a survival advantage for either regimen. We believe that our preliminary findings warrant further clinical investigation.

#### Electronic Supplementary Material

Supplementary materials are available at Cancer Research and Treatment website (<https://www.e-crt.org>).

#### Conflicts of Interest

The drugs were supplied by Sanofi-Aventis Korea and Jeil Pharmaceutical.

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