

# ORIGINAL ARTICLE

# The Effects of *Helicobacter pylori* on the prognosis of patients with curatively resected gastric cancers in a population with high infection rate

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**Purpose:** The goal of this study was to assess the correlation between the *Helicobacter pylori* status of patients who underwent curative resection for gastric adenocarcinoma and their prognosis in Eastern societies where *H. pylori* infection is prevalent. **Methods:** Between 2006 and 2007, 192 patients who had a curative resection for the treatment of gastric adenocarcinoma were enrolled in the study. Of these patients, 18 were excluded due to an inexact evaluation of the *H. pylori* status, thereby leaving 174 patients in the final analysis. Serologic testing for *H. pylori* was assessed using an enzyme-linked immunosorbent assay kit for immunoglobulin G, and the histological presence of *H. pylori* was identified using the Giemsa stain. **Results:** Of the 174 patients, 111 patients (63.8%) were confirmed for *H. pylori* infection. *H. pylori* status did not correlate with the overall or disease-free survival. For patients with stage III or IV gastric cancer, a positive *H. pylori* status was a significant predictive factor for recurrence in multivariable analysis (relative risk, 2.724; 95 confidence interval, 1.192 to 6.228). **Conclusion:** *Helicobacter pylori* status may be a predictive factor for recurrence in patients diagnosed with advanced gastric adenocarcinoma.

Key Words: Helicobacter pylori, Stomach neoplasm, Prognosis, Survival

# **INTRODUCTION**

Despite the decreasing incidence and mortality of gastric cancer, it remains one of the most common types of cancer and is an important cause of cancer-related deaths in East Asian countries, such as Korea and Japan [1]. A high incidence of gastric cancer in this region has often been attributed to a high rate of *Helicobacter pylori* infection. In 1994, the World Health Organization (WHO) classified *H. pylori* as a definite carcinogen based on

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© Journal of the Korean Surgical Society is an Open Access Journal. All articles are distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/3.0/) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited. large-scale epidemiological data [2]. Since the publication the WHO classification, several prospective studies have determined a strong correlation between *H. pylori* and gastric cancer development and have indicated that the eradication of *H. pylori* could prevent the progression of gastric cancer [3-5].

The 5-year survival rate has increased to 60 due to a higher rate of early stage diagnoses through mass screening programs in areas of high gastric cancer incidence coinciding with *H. pylori* epidemic areas. However, greater than 40 of gastric cancer patients are still diagnosed at an advanced stage with a comparatively lower overall survival rate [6]. Patients who are predicted to have a poor prognosis may require aggressive surgical procedures or additional perioperative therapy. Therefore, it is important to understand the factors that are predictive of overall or disease-free survival prior to treatment onset. Although the prognosis for gastric cancer patients is based on the tumor-node-metastasis (TNM) stage, there is a range of prognoses within similar stages that cannot be fully explained.

Although a strong correlation between *H. pylori* and gastric cancer development has been established, the effect of *H. pylori* status on the prognosis of gastric cancer patients has not been reported in Eastern societies, which are well-known *H. pylori* endemic areas. Two prospective studies have reported that a negative *H. pylori* status is associated with an improved prognosis in Western countries [7,8]; however, these results are not globally applicable due to regional differences in *H. pylori* virulence and gastric cancer incidence [9]. Therefore, the determination of the relationship between gastric cancer patient prognosis and *H. pylori* status is relevant in areas of high *H. pylori* prevalence.

Here, we made a plan to collect prospectively the data of gastric cancer patients in Korea, where *H. pylori* is highly prevalent, to assess the correlation between the *H. pylori* status of patients who underwent curative resection for the treatment of gastric adenocarcinoma and their prognosis.

### **METHODS**

This study was approved by the Institutional Review Board of Ajou University Hospital (Approval No. AJIRB-CRO-06-152). We obtained written informed consent from all participants, and the study was conducted in accordance with the Declaration of Helsinki. From January 2006 to December 2007, 192 patients diagnosed with adenocarcinoma based on a gastrofiberoptic biopsy without detectable metastatic lesions in preoperative imaging studies (e.g., computed tomography) were prospectively enrolled. Patients who had a previous gastric resection or had other coincident malignancies were excluded. After enrolment, 18 patients who had unexpected metastatic lesions in the surgical field, had unresectable primary tumours, underwent non-curative resection, or had follow up periods of 3 months or less were dropped from this study. A final total of 174 patients were analysed.

Prior to surgery, a venous blood sample was obtained from each patient for serologic testing. Blood samples were centrifuged to obtain the serum; isolated serum samples were stored at -70°C. Levels of anti H. pylori immunoglobulin G (IgG) were assessed qualitatively using an enzyme-linked immunosorbent assay (ELISA) kit (Genedia H pylori ELISA, Green Cross Medical Co., Seoul, Korea). This kit uses an H. pylori antigen extracted from Korean H. pylori strains. A previous study using this kit reported that the sensitivity and specificity in Korean adults were 97.8 and 92.0, respectively [10]. According to the manufacturer's recommendations, the cut-off optical density (450 nm) for the positive presence of H. pylori IgG was 0.405. For the histological diagnosis, non-tumourous tissues were sampled from the antrum and corpus mucosa at least 5 cm from the tumour. Harvested samples were immediately placed in formalin and embedded in paraffin. For the investigation of the histological H. pylori status, the tissues were stained with Giemsa stain and analysed by a pathologist who was unaware of the patient's clinical information.

We defined a positive result for *H. pylori* infection as when both the serologic and histological results were positive. A patient was classified as negative for *H. pylori* when either the histological examination or serology was negative. For patients classified as positive for *H. pylori*, we did not postoperatively prescribe eradication medication.

Surgery was conducted by laparoscopy or an open laparotomy approach. Patients with early stage cancer underwent laparoscopic surgery. After entrance to the abdominal cavity, the entire abdomen was examined to search for unexpected metastatic lesions. Surgery consisted of subtotal or total gastrectomy according to the Japanese Classification for Gastric Carcinoma [11]. Regarding lymphadenectomy, D1 +  $\beta$  for early gastric cancer and D2 for advanced cancer were performed. If it was possible to obtain curability, tumour-invaded organs were resected. Pathologic results were recorded, including the tumour size, location, and type, according to the Lauren and WHO histological classifications, which were categorised into differentiated and undifferentiated types. Tumour invasion, regional lymph node involvement, and pathologic staging were classified according to the TNM guidelines of the Sixth edition of the American Joint of Cancer Committee (AJCC) Cancer Staging Manual. In the final pathologic findings, the patients had the tumor invaded into muscle and over, or positive lymph node metastasis received 5-FU-based adjuvant chemotherapy (including single oral fluoropyrimidine like TS-1 and doxifluridine, or combined regimens with fluoropyrimidine and intravenous cisplatin), for a period of 6 months to 1 year if their condition was amenable. All patients in this study were assessed for the recurrence of gastric cancer and death every three to six months through the use of computed tomography, tumor marker expression and physical examination.

A chi-squared test was used to analyse correlations between the clinicopathologic features and *H. pylori* status. Overall and disease-free survival and *H. pylori* status were analysed using the Kaplan-Meier test. The factors that predicted cancer recurrence were analysed using the Cox proportional hazard regression model. Statistical analyses were performed using SPSS ver. 13.0 (SPSS Inc., Chicago, IL, USA), and P-values < 0.05 were considered statistically significant.

### RESULTS

A total of 149 patients (85.5%) were serologically pos-

Table 1. Clinicopathologic features	according to	Helicobactor	pylori
infection			

	No.	Н. р		
Variable		Negative	Positive	P-value
Age (yr)				0.156
<65	114	37 (32.5)	77 (67.5)	
≥65	60	26 (43.3)	34 (56.7)	
Gender		( )	( )	0.538
Male	108	41 (38.0)	67 (62.0)	
Female	66	22 (33.3)	44 (66.7)	
Approach		. ,		0.184
Open	119	47 (39.5)	72 (60.5)	
Laparoscopy	55	16 (29.1)	39 (70.9)	
Resection		. ,		0.846
Total gastrectomy	40	15 (37.5)	25 (62.5)	
Subtotal gastrectomy	134	48 (35.8)	86 (64.2)	
Lymphadenectomy				0.436
D1 + β	81	32 (39.4)	49 (60.6)	
Over D2	93	31 (34.8)	62 (65.2)	
Tumour location				0.101
Upper third	30	6 (20.0)	24 (80.0)	
Middle third	48	19 (39.6)	29 (60.4)	
Lower third	93	38 (40.9)	55 (59.1)	
Whole	3	0 (0)	3 (100.0)	
Lauren classification				0.444
Diffuse	9	4 (44.4)	5 (55.6)	
Intestinal	151	52 (34.4)	99 (65.6)	
Mixed	14	7 (50.5)	7 (63.8)	
Differentiation				0.712
Differentiated	55	21 (38.2)	34 (61.8)	
Undifferentiated	119	42 (35.3)	77 (64.7)	
Tumour invasion				0.927
T1	60	22 (36.7)	38 (63.3)	
T2 and higher	114	41 (36.0)	73 (64.0)	
Lymph node involvemen	t			0.856
Node negative	62	23 (37.1)	39 (62.9)	
Node positive	112	40 (35.7)	72 (64.3)	
Size (cm)				0.063
<4	75	33 (44.0)	42 (56.0)	
$\geq 4$	99	30 (30.3)	69 (69.7)	
Stage (AJCC 7th edition)				0.720
I or II	113	42 (37.2)	71 (62.8)	
III or IV	61	21 (34.4)	40 (65.6)	
Adjuvant chemotherapy				0.348
No	72	29 (40.3)	43 (59.7)	
Yes	102	34 (33.3)	68 (66.7)	

Values are presented as number (%).

AJCC, American Joint of Cancer Committee.

itive for *H. pylori* IgG. In the histological evaluation, 120 patients (69.0%) were diagnosed with *H. pylori* infections by both pathologists. Based on these tests, 111 patients (63.8%) showed both serologically and histologically positive results and were confirmed as positive for *H. pylori* infection. Of the 172 patients, 108 patients (62.7%) were male, and 119 (69.2%) underwent open gastric cancer surgery. A total of 113 patients (65.7%) were pathologically diagnosed as AJCC stage I or II, and 61 patients (34.3%) were stage III or IV. After surgery, 102 patients (59.3%) received adjuvant chemotherapy. No significant variable was identified in the correlation analysis between clinicopathologic features and *H. pylori* infection (Table 1).

The mean survival time of all patients was 36.4 months, and the mean disease-free survival time was 34.8 months. During the follow-up period, 30 patients had recurrence, and 23 patients died. The overall and disease-free survival of the patients were significantly decreased with increasing tumour staging (P < 0.001) (Fig. 1). However, *H. pylori* infection status did not have a significant effect on the overall or disease-free survival (Fig. 2).

A subgroup analysis was performed by dividing the patients into two groups according to their AJCC stage (stages I or II and III or IV). In patients with stage I or II cancer, a younger age (P = 0.003) and reduced tumour invasion (P = 0.020) were significantly associated with an improved overall survival; a younger age (P = 0.006) was also associated with a higher disease-free survival rate. However, *H. pylori* infection status did not significantly correlate with the overall (P = 0.396) or disease-free survival (P = 0.793) (Table 2).

In stage III or IV cases, lymph node involvement was associated with the overall and disease-free survival. The disease-free survival of patients negative for *H. pylori* in-



Fig. 1. Patient survival according to tumor-node-metastasis stage. (A) Overall survival and (B) disease-free survival.



Fig. 2. Patient survival according to Helicobactor pylori infection status. (A) Overall survival and (B) disease-free survival.

appears to be TNM stage, which is based on the level of in-

vasion and metastasis to local lymph nodes [12,13]. In our

study, the overall and disease-free survival were sig-

nificantly correlated with the TNM stage determined ac-

cording to the AJCC guidelines. However, patients at the

same stage can have a variable prognosis. Several in-

dependent factors have been proposed to account for this

Variabla	No	Overall survival		Disease-free survival	
		Mean survival (95% CI)	P-value	Mean survival (95% CI)	P-value
Age (yr)			0.003		0.006
<65	69	All alive		39.6 (38.9-40.3)	
$\geq 65$	44	38.3 (37.1-39.6)		34.7 (31.4-37.9)	
Gender			0.074		0.174
Male	69	38.5 (37.1-39.9)		33.6 (31.8-35.5)	
Female	44	All alive		39.4 (38.2-40.5)	
Lauren classification			0.664		0.566
Diffuse	4	All alive		No recurrence	
Intestinal	98	38.7 (37.6-39.8)		37.8 (36.2-39.4)	
Mixed	11	All alive		No recurrence	
Differentiation			0.061		0.570
Differentiated	39	All alive		35.2 (33.8-36.7)	
Undifferentiated	74	37.2 (35.1-39.2)		38.8 (37.6-40.4)	
Lymph node involvement			0.418		0.181
Node negative	42	35.4 (34.4-36.5)		35.1 (33.4-36.8)	
Node positive	71	38.6 (37.2-39.9)		37.4 (35.5-39.4)	
Tumour invasion			0.020		0.251
T1	59	All alive		35.0 (33.7-36.3)	
T2 and higher	54	38.8 (37.9-39.8)		37.3 (35.0-39.6)	
Size (cm)			0.352		0.365
< 4	46	33.9 (31.8-36.0)		35.6 (34.8-36.4)	
$\geq 4$	67	38.5 (36.9-40.2)		38.5 (37.1-40.0)	
Adjuvant chemotherapy			0.339		0.133
No	49	38.3 (37.1-39.6)		38.2 (36.6-39.7)	
Yes	64	38.5 (37.2-39.9)		37.3 (35.2-39.4)	
Helicobactor pylori infection			0.396		0.793
(-)	42	38.4 (37.4-39.4)		36.8 (34.4-39.2)	
(+)	71	38.5 (37.1-39.9)		38.2 (36.5-39.9)	

Table 2. Survival analysis in patients pathologically diagnosed with stage I or II cancer (n = 113)

CI, confidence interval.

fections was significantly shorter than that of patients with a positive *H. pylori* status (P = 0.019) (Table 3). In addition, the overall survival did not differ significantly according to the *H. pylori* status (P = 0.302) (Fig. 3). In the multivariable analysis, a negative *H. pylori* status (relative risk, 2.724; 95 confidence interval, 1.192 to 6.228) was predictive of an early recurrence accompanied by lymph node involvement (Table 4).

### DISCUSSION

Patients diagnosed with advanced stage gastric cancer have a poor prognosis despite resection of the primary tumour and perigastric lymph nodes. The most reliable factor for predicting the prognosis of gastric cancer patients

variance in patients that have undergone curative resection of gastric cancer. These factors have included the lymphatic and venous spread of tumour cells and the expression of specific proteins, such as c-erbB2 and vascular endothelial growth factor [14-17]. However, the correlation between these factors and prognosis for gastric cancer of the primary tumost reliable facic cancer patients did not meaningful evidence. Therefore, other required. Tests for *H. pylori* status have been standardised

Variable	No	Overall survival		Disease-free survival	
variable inc		Mean survival (95% CI)	P-value	Mean survival (95% CI)	P-value
Age (yr)			0.352		0.649
<65	45	31.9 (28.4-35.4)		29.1 (25.2-33.0)	
$\geq 65$	16	27.2 (21.0-33.4)		26.8 (20.2-33.4)	
Gender			0.199		0.845
Male	39	29.7 (25.1-33.5)		28.7 (24.3-33.2)	
Female	22	33.5 (29.5-37.4)		28.3 (23.1-33.4)	
Lauren classification			0.518		0.505
Diffuse	5	31.4 (19.8-43.0)		23.2 (11.7-34.7)	
Intestinal	53	30.6 (27.2-34.0)		29.3 (25.6-33.0)	
Mixed	3	All alive		29.3 (23.3-35.4)	
Differentiation			0.083		0.085
Differentiated	16	25.7 (19.5-31.8)		22.5 (15.4-29.6)	
Undifferentiated	45	32.5 (29.0-36.0)		30.6 (26.9-34.2)	
Lymph node involvement			0.004		0.029
Node negative	20	37.0 (35.0-38.9)		33.9 (30.3-37.4)	
Node positive	41	27.8 (23.6-31.9)		25.5 (21.0-30.0)	
Tumour invasion			0.207		0.134
T1	21	32.1 (26.9-37.3)		31.9 (27.1-36.8)	
T2 and higher	40	29.8 (25.9-33.7)		26.8 (22.4-31.1)	
Size (cm)			0.101		0.108
< 4	29	35.4 (32.0-38.7)		31.5 (27.2-35.8)	
$\geq 4$	32	26.6 (22.0-31.2)		25 (20.3-30.3)	
Adjuvant chemotherapy			0.044		0.242
No	23	34.5 (30.8-38.2)		30 (25.7-35.3)	
Yes	38	28.6 (24.4-32.8)		27 (22.4-31.5)	
Helicobactor pylori infection			0.302		0.019
(-)	21	27.3 (22.0-32.7)		23.6 (18.4-28.9)	
(+)	40	32.2 (28.6-35.9)		31.5 (27.5-35.6)	

### Table 3. Survival analysis in patients pathologically diagnosed with stage III or IV cancer (n = 61)

CI, confidence interval.



Fig. 3. Patient survival according to *Helicobactor pylori* infection status in patients with advanced stage gastric cancer (stage III or IV). (A) Overall survival and (B) disease-free survival.

for some time, and preoperative assessment is possible. The results of our study indicated that *H. pylori* status could be a predictive factor for early recurrence in patients at an advanced stage of gastric cancer.

Table 4. Multivariate	analysis for	the prediction	of recurrence in
stage III or IV patients	s(n = 61)		

	P-value	Relative risk	95% Confidential interval
Lymph node involvement	0.031	3.296	1.117-9.723
<i>Helicobactor pylori</i> infection (positive vs. negative)	0.018	2.724	1.192-6.228

To date, two prospective studies have been published that evaluate the correlation between H. pylori status and the prognosis of gastric cancer patients in Western countries (i.e., Italy and Germany) [7,8]. Compared to previous research, the critical difference in our study was that the patients were enrolled in Korea, which is a country with a high rate of H. pylori infection. To the best of our knowledge, this study is the first to suggest that H. pylori infection is a superior prognostic factor in a population with a high prevalence of H. pylori. Several studies have demonstrated that gastric cancer patients in Eastern societies exhibit improved survival rates relative to patients in Western populations [18,19]. These studies proposed that survival differences may be dependent on differences in surgical aggressiveness, tumour staging and tumour biology between the two regions. However, no study has described a correlation between the differences in gastric cancer survival rates and H. pylori prevalence. Based on our results, the high prevalence of *H. pylori* may also be a fundamental reason why gastric cancer patients in Eastern societies have showed a better prognosis. In addition, we decided the infection of H. pylori using postoperative histological evaluation combining with serologic test, in contrast to Western prospective studies. It may be more meaningful regarding suggestion the infection of *H. pylori* as the prognostic marker after curative resection, and contributing to the decision about the adjuvant treatment.

In contrast with a prospective study performed in Germany [8], patients with positive *H. pylori* status in our study showed an improved disease-free survival only in the advanced stages of the disease. Differences in surgical strategies between the two regions may have caused this result. Randomised controlled clinical trials conducted in Western countries have demonstrated that perigastric lymph node dissection followed by gastrectomy was sufficient in the treatment of all localised gastric cancers based on cancer survival and operative morbidity [20,21]. However, the surg cal strategy used in our institution has followed the Japanese perspective, which recommends extended lymphadenectomy (over D1 +  $\beta$  lymph node dissection) for gastric cancer with invasion of tumours as small as T1 [22]. The aggressive resection of localised gastric cancers might be the reason why *H. pylori* status did not confer a difference in prognosis in the early stages of gastric cancer. However, further follow-up may show differences according to *H. pylori* status even in stages I and II.

To date, several hypotheses on the biological and immunological consequences of H. pylori infection have been suggested to explain the correlation between a positive H. pylori status and a better prognosis. First, several studies have demonstrated a significant association between H. pylori infection and microsatellite instability (MSI) [23,24]. These studies suggest that gastric cancer with MSI might result in an increased H. pylori virulence through molecular pathways. Although a separate report has suggested that gastric cancer with MSI was associated with a favourable outcome [25], further studies are required to identify how the presence of MSI explains the direct correlation between H. pylori status and prognosis. Second, an improved prognosis in gastric cancer patients that are positive for *H*. pylori may be the result of a local immune response to H. pylori infection. Several reports have suggested that the induction of a local B-cell response in gastric mucosa and a type 1 T-helper cell immune response following H. pylori infection may contribute to the improved prognosis of gastric cancer patients [26,27]. However, the connection between the immune response induced by H. pylori and patient prognosis requires further confirmation. In addition, one clinical research study has suggested that the poor prognosis of gastric cancer patients that are negative for *H. pylori* could be the result of a more aggressive form of gastric cancer [28]. The further progression of gastric cancer results in an increased destruction of the parietal cells in the stomach mucosa; the lumen of the stomach then becomes an alkaline environment, which is unfavourable for H. pylori.

In this study, we defined a positive *H. pylori* infection based on both serologic and histological analyses. *H. pylori* 

infection can be diagnosed in a number of different ways. Conventional serologic testing by ELISA is considered the most accurate method for determining previous H. pylori infections because the IgG antibody may persist for several months after a bacterial infection [29,30]. Even following eradication therapy, there is a delay in the decrement of serum IgG antibody, thereby allowing IgG to be used as a tool in the detection of past *H. pylori* infections. On the other hand, a current H. pylori infection can be confirmed based on histological findings using Giemsa staining. As previously described, the effects of *H. pylori* status on the prognosis of gastric cancer patients can be estimated by observing immunologic responses, such as the eradication of H. pylori infection in the aggressive tumors. Therefore, both present and past H. pylori infections could explain the strong effect of *H. pylori* status on the prognosis of gastric cancer patients. In addition, these detection methods are less expensive and are more practical diagnostic tools than other modalities used for the evaluation of H. pylori infection, such as reverse transcription-polymerase chain reaction for vac-A antigen or bacterial culturing.

In conclusion, *H. pylori* status did not show a correlation with clinicopathologic factors of gastric adenocarcinoma in Korea. However, negative *H. pylori* status may be a predictive factor for recurrence in patients diagnosed with advanced stage gastric adenocarcinoma. Therefore, in *H. pylori* endemic areas, patients diagnosed with locally advanced gastric cancer accompanied by negative *H. pylori* status should be considered for an aggressive treatment strategy and close follow up. In addition, the correlation observed in the early stages of gastric cancer should be confirmed in future studies with longer follow-up times.

# CONFLICTS OF INTEREST

No potential conflict of interest relevant to this article was reported

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